

# §17 Monotonicity Formula And Basic Consequences

In this section we assume that  $U$  is open in  $\mathbb{R}^{n+k}$ ,  
 $V = \underline{v}(M, \theta)$  has the generalized mean curvature  $\underline{H}$  in  $U$  (see 16.5), and we write  $\mu$  for  $\mu_V$  ( $= H^n \angle \theta$  as in 15.1).

Our aim is to obtain information about  $V$  by making appropriate choice of  $X$  in the formula (see 16.5)

$$17.1 \quad \int \operatorname{div}_M X \, d\mu = - \int \underline{X} \bullet \underline{H} \, d\mu, \quad X \in C_c^1(U; \mathbb{R}^{n+k})$$

First we choose  $X_x = \gamma(r)(x - \xi)$ , where  $\xi \in U$  is fixed,

$r = |x - \xi|$ , and  $\gamma$  is a  $C^1(\mathbb{R})$  function with

$$\gamma'(t) \leq 0 \quad \forall t; \quad \gamma(t) \equiv 1 \quad \text{for } t \leq \rho/2; \quad \gamma(t) \equiv 0 \quad \text{for } t > \rho$$

where  $\rho > 0$  is such that  $\bar{B}_\rho(\xi) \subset U$ . (Here and subsequently  $B_\rho(\xi)$  denotes the open ball in  $\mathbb{R}^{n+k}$  with center  $\xi$  and radius  $\rho$ .)

For any  $f \in C^1(U)$  and any  $x \in M$  such that  $T_x M$  exists (see 11.4 - 11.6) we have (by 12.1)  $\nabla^M f(x) = \sum_{j,l=1}^{n+k} e^{jl} D_l f(x) e_j$ , where  $D_l f(x)$  denotes the partial derivative  $\frac{\partial f}{\partial x^l}$  of  $f$  taken in  $U$  and where  $(e^{jl})$  is the matrix of the orthogonal projection of  $\mathbb{R}^{n+k}$  onto  $T_x M$ . Thus writing  $\nabla^M = e_j \bullet \nabla^M$  (as in

Section 16), with the above choice of  $X$  we deduce

$$\operatorname{div}_M X = \sum_{j=1}^{n+k} \nabla_j^M X_j = \gamma(r) \sum_{j=1}^{n+k} e^{jj} + r\gamma'(r) \sum_{j,l=1}^{n+k} \frac{x^j - \xi^j}{r} \bullet \frac{x^l - \xi^l}{r} e^{jl}$$

More precise,

$$\begin{aligned} \nabla^M f(x) &= P_{T_x M} (\operatorname{grad}_{\mathbb{R}^{n+k}} f(x)) = P_{T_x M} \left( \sum_{l=1}^{n+k} D_l f(x) e_l \right) \\ &= \sum_{l=1}^{n+k} D_l f(x) P_{T_x M} e_l = \sum_{j,l=1}^{n+k} D_l f(x) e^{lj} e_j = \sum_{j,l=1}^{n+k} e^{jl} D_l f(x) e_j \end{aligned}$$

and

$$\begin{aligned} \operatorname{div}_M X &= \sum_{j=1}^{n+k} \nabla_j^M X^j \\ &= \sum_{j=1}^{n+k} e_j \bullet \nabla^M X^j \\ &= \sum_{j=1}^{n+k} e_j \bullet \sum_{k,l=1}^{n+k} e^{kl} D_l X^j e_k \\ &= \sum_{j,l=1}^{n+k} e^{jl} D_l X^j \\ &= \sum_{j,l=1}^{n+k} e^{jl} \left[ \gamma'(r) \frac{x^l - \xi^l}{r} \bullet (x^j - \xi^j) + \gamma(r) \delta_l^j \right] \\ &= r\gamma'(r) \sum_{j,l=1}^{n+k} e^{jl} \frac{x^l - \xi^l}{r} \bullet \frac{x^j - \xi^j}{r} + \gamma(r) \sum_{j=1}^{n+k} e^{jj} \\ &= r\gamma'(r) \left\langle \sum_{j=1}^{n+k} \left( \sum_{l=1}^{n+k} e^{jl} \frac{x^l - \xi^l}{r} \right) e_j, \sum_{j=1}^{n+k} \frac{x^j - \xi^j}{r} e_j \right\rangle + n\gamma(r) \\ &= r\gamma'(r) \langle P_{T_x M} Dr, Dr \rangle + n\gamma(r) \\ &= r\gamma'(r) [1 - |D^\perp r|^2] + n\gamma(r) \end{aligned}$$

Since  $(e^{jl})$  represents the orthogonal projection onto  $T_x M$

we have  $\sum_{j=1}^{n+k} e^{jj} = n$  and  $\sum_{j,l=1}^{n+k} \frac{x^j - \xi^j}{r} \bullet \frac{x^l - \xi^l}{r} e^{jl} = 1 - |D^\perp r|^2$ , where

$D^\perp r$  denotes the orthogonal projection of  $Dr$  (which is a vector of length  $= 1$ ) onto  $(T_x M)^\perp$ .

The formula 17.1 thus yields

$$(*) \quad \begin{aligned} & n \int \gamma(r) d\mu + \int r \gamma'(r) d\mu \\ &= - \int \underline{H} \bullet (x - \xi) \gamma(r) d\mu + \int r \gamma'(r) |D^\perp r|^2 d\mu \end{aligned}$$

provided  $\bar{B}_\rho(\xi) \subset U$ . Now take  $\phi$  such that  $\phi(t) \equiv 1$  for  $t \leq \frac{1}{2}$ ,

$\phi(t) = 0$  for  $t \geq 1$  and  $\phi'(t) \leq 0$  for all  $t$ . Then we can use (\*)

with  $\gamma(r) = \phi\left(\frac{r}{\rho}\right)$ . Since  $r \gamma'(r) = \frac{r}{\rho} \phi'\left(\frac{r}{\rho}\right) = -\rho \frac{\partial}{\partial \rho} \phi\left(\frac{r}{\rho}\right)$  this

gives

$$nI(\rho) - \rho I'(\rho) = -\rho J'(\rho) - L(\rho)$$

where

$$\begin{aligned} I(\rho) &= \int \phi\left(\frac{r}{\rho}\right) d\mu, \quad L(\rho) = \int \phi\left(\frac{r}{\rho}\right) (x - \xi) \bullet \underline{H} d\mu \\ J(\rho) &= \int \phi\left(\frac{r}{\rho}\right) |D^\perp r|^2 d\mu \end{aligned}$$

Thus, multiply by  $\rho^{-n-1}$  and rearranging we have

$$17.2 \quad \frac{d}{d\rho} \left[ \frac{I(\rho)}{\rho^n} \right] = \frac{J'(\rho)}{\rho^n} - \frac{L(\rho)}{\rho^{n+1}}$$

Thus letting  $\phi$  increase to the characteristic function of the interval  $(-\infty, -1)$ , we obtain, in the distribution sense,

$$17.3 \quad \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] = \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} (x - \xi) \bullet H d\mu$$

This is **the fundamental monotonicity identity**. Since  $\mu(B_\rho(\xi))$  and  $\int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu$  are increasing in  $\rho$  it also holds in the classical sense for a.e.  $\rho > 0$  such that  $\bar{B}_\rho(\xi) \subset U$ .

More precise,

$$\frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] = \frac{\mu'_\rho(B_\rho(\xi)) \rho^n - \mu(B_\rho(\xi)) n \rho^{n-1}}{\rho^{2n}}$$

and

$$\begin{aligned} & \frac{1}{\rho^n} \frac{d}{d\rho} \int_{B_\rho(\xi)} |D^\perp r|^2 d\mu \\ &= \frac{1}{\rho^n} \lim_{m \rightarrow \infty} \frac{\int_{B_\rho(\xi) - B_{\rho - \frac{\rho}{m}}(\xi)} |D^\perp r|^2 d\mu}{\frac{1}{m}} \\ &= \lim_{m \rightarrow \infty} \frac{\int_{B_\rho(\xi) - B_{\rho - \frac{1}{m}}(\xi)} \left(\frac{r}{\rho}\right)^n \frac{|D^\perp r|^2}{r^n} d\mu}{\frac{1}{m}} \end{aligned}$$

where we can estimate the last quantity as follows,

$$\begin{aligned} & \left(1 - \frac{1}{m}\right)^n \frac{\int_{B_\rho(\xi) - B_{\rho - \frac{\rho}{m}}(\xi)} |D^\perp r|^2 d\mu}{\frac{1}{m}} \\ & \leq \frac{\int_{B_\rho(\xi) - B_{\rho - \frac{\rho}{m}}(\xi)} \left(\frac{r}{\rho}\right)^n \frac{|D^\perp r|^2}{r^n} d\mu}{\frac{1}{m}} \leq \frac{\int_{B_\rho(\xi) - B_{\rho - \frac{1}{m}}(\xi)} |D^\perp r|^2 d\mu}{\frac{1}{m}} \end{aligned}$$

Notice that if  $H \equiv 0$  then 17.3 tells us that the ratio  $\frac{\mu(B_\rho(\xi))}{\rho^n}$

is non-decreasing in  $\rho$ . Generally, by integrating with respect to  $\rho$  in 17.3 we get the identity

$$17.4 \quad \begin{aligned} \frac{\mu(B_\sigma(\xi))}{\sigma^n} &= \frac{\mu(B_\rho(\xi))}{\rho^n} - \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu \\ &\quad - \frac{1}{n} \int (x-\xi) \bullet H \left( \frac{1}{r_\sigma^n} - \frac{1}{\rho^n} \right) d\mu \end{aligned}$$

for all  $0 < \sigma \leq \rho$  with  $\bar{B}_\rho(\xi) \subset U$ , where  $r_\sigma = \max\{r, \sigma\}$ , so

that if  $H = 0$  we have the particularly interesting identity

$$17.5 \quad \frac{\mu(B_\sigma(\xi))}{\sigma^n} = \frac{\mu(B_\rho(\xi))}{\rho^n} - \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu$$

More precise, integrating 17.3 with respect to  $\rho$ , we get

$$\begin{aligned} & \frac{\mu(B_\rho(\xi))}{\rho^n} - \frac{\mu(B_\sigma(\xi))}{\sigma^n} \\ &= \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \int_\sigma^\rho \frac{1}{\tau^{n+1}} \int_{B_\tau(\xi)} (x-\xi) \bullet H d\mu d\tau \\ &= \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \int_\sigma^\rho \frac{1}{\tau^{n+1}} \int_{B_\rho(\xi)} \chi_{B_\tau(\xi)}(x) (x-\xi) \bullet H d\mu d\tau \\ &= \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \int_{B_\rho(\xi)} (x-\xi) \bullet H \int_\sigma^\rho \frac{\chi_{B_\tau(\xi)}(x)}{\tau^{n+1}} d\tau d\mu \\ &= \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \int_{B_\rho(\xi)} (x-\xi) \bullet H \int_{\max\{\sigma, r\}}^\rho \frac{1}{\tau^{n+1}} d\tau d\mu \\ &= \int_{B_\rho(\xi)-B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu + \frac{1}{n} \int_{B_\rho(\xi)} (x-\xi) \bullet H \left( \frac{1}{r_\sigma^n} - \frac{1}{\rho^n} \right) d\mu \end{aligned}$$

We now want to examine the important question of what 17.3

tells us in case we assume boundedness and  $L^p$  – conditions on  $\underline{H}$ .

**17.6 Theorem** If  $\xi \in U, 0 < \alpha \leq 1, \Lambda \geq 0$ , and if

$$(*) \quad \frac{1}{\alpha} \int_{B_\rho(\xi)} \underline{|H|} d\mu \leq \Lambda \left( \frac{\rho}{R} \right)^{\alpha-1} \mu(B_\rho(\xi)) \text{ for all } \rho \in (0, R)$$

where  $\bar{B}_R(\xi) \subset U$ , then  $e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n}$  is a non-decreasing

function of  $\rho \in (0, R)$ , and in fact

$$(1) \quad e^{\Lambda R^{1-\alpha} \sigma^\alpha} \frac{\mu(B_\sigma(\xi))}{\sigma^n} \leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n} - \int_{B_\rho(\xi) - B_\sigma(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu$$

Whenever  $0 < \sigma < \rho \leq R$ . Also,

$$(2) \quad e^{-\Lambda R^{1-\alpha} \sigma^\alpha} \frac{\mu(B_\sigma(\xi))}{\sigma^n} \geq e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n} - \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu$$

**Proof** From 17.3, multiply by the integrating factor  $e^{\Lambda R^{1-\alpha} \rho^\alpha}$  we get

$$\begin{aligned} \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu &\leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu \\ &= e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] - e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} (x - \xi) \bullet H d\mu \\ &\leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] + e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^n} \int_{B_\rho(\xi)} \underline{|H|} d\mu \\ &\leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] + e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^n} \alpha \Lambda \left( \frac{\rho}{R} \right)^{\alpha-1} \mu(B_\rho(\xi)) \\ &\leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] + \left[ e^{\Lambda R^{1-\alpha} \rho^\alpha} \Lambda R^{1-\alpha} \alpha \rho^{\alpha-1} \right] \bullet \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] \end{aligned}$$

$$= \frac{d}{d\rho} \left[ e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n} \right]$$

Thus integrate with respect to  $\rho$  on the interval  $(\sigma, \rho)$ , (1) follows,

$$\int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu \leq e^{\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n} - e^{\Lambda R^{1-\alpha} \sigma^\alpha} \frac{\mu(B_\sigma(\xi))}{\sigma^n}$$

For (2), we just use the same method,

$$\begin{aligned} \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu &\geq e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \int_{B_\rho(\xi)} \frac{|D^\perp r|^2}{r^n} d\mu \\ &= e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] - e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} (x - \xi) \bullet H d\mu \\ &\geq e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] - e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} r |H| d\mu \\ &\geq e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] - e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{1}{\rho^{n+1}} \alpha \Lambda \left( \frac{\rho}{R} \right)^{\alpha-1} \mu(B_\rho(\xi)) \\ &= e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] + \left[ e^{-\Lambda R^{1-\alpha} \rho^\alpha} (-\Lambda R^{1-\alpha} \alpha \rho^{\alpha-1}) \right] \bullet \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] \\ &= \frac{d}{d\rho} \left[ e^{-\Lambda R^{1-\alpha} \rho^\alpha} \frac{\mu(B_\rho(\xi))}{\rho^n} \right] \end{aligned}$$

**17.7 Theorem** If  $\xi \in U$  and  $\left( \int_{B_R(\xi)} |H|_+^p d\mu \right)^{\frac{1}{p}} \leq \Gamma$ , where

$B_R(\xi) \subset U$  and  $p > n$ , then

$$\left[ \frac{\mu(B_\sigma(\xi))}{\sigma^n} \right]^{\frac{1}{p}} \leq \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right]^{\frac{1}{p}} + \frac{\Gamma}{p-n} \left( \rho^{1-\frac{n}{p}} - \sigma^{1-\frac{n}{p}} \right)$$

whenever  $0 < \sigma < \rho \leq R$ .

**Proof** From 17.3, we get

$$\begin{aligned} \frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right] &\geq \frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} (x - \xi) \bullet H \, d\mu \\ &\geq -\frac{1}{\rho^{n+1}} \int_{B_\rho(\xi)} |x - \xi| \bullet |H| \, d\mu \\ &\geq -\frac{1}{\rho^n} \left( \int_{B_\rho(\xi)} |H|^p \, d\mu \right)^{\frac{1}{p}} \mu(B_\rho(\xi))^{\frac{1}{p}-\frac{1}{p}} \\ &\geq -\Gamma \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right]^{\frac{1}{p}-\frac{1}{p}} \rho^{-\frac{n}{p}} \end{aligned}$$

That is,

$$\frac{d}{d\rho} \left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right]^{\frac{1}{p}} \geq -\frac{\Gamma}{p} \rho^{-\frac{n}{p}}$$

Integrating with respect to  $\rho$ , we have

$$\frac{\mu(B_\rho(\xi))}{\rho^n} - \frac{\mu(B_\sigma(\xi))}{\sigma^n} \geq -\frac{\Gamma}{p-n} \left( \rho^{\frac{1-n}{p}} - \sigma^{\frac{1-n}{p}} \right)$$

**17.8 Corollary** If  $\underline{H} \in L_{loc}^p(\mu)$  in  $U$  for some  $p > n$ , then the

density  $\Theta^n(\mu, x) = \lim_{\rho \downarrow 0} \frac{\mu(\bar{B}_\rho(x))}{\omega_n \rho^n}$  exists at every point  $x \in U$ ,

and  $\Theta^n(\mu, \cdot)$  is an upper-semi-continuous function in  $U$ :

$$\Theta^n(\mu, x) \geq \limsup_{y \rightarrow x} \Theta^n(\mu, y), \quad \forall x \in U$$

**Proof** The inequality 17.7 tells us that  $\left[ \frac{\mu(B_\rho(\xi))}{\rho^n} \right]^{\frac{1}{p}} + \frac{\Gamma}{p-n} \rho^{\frac{1-n}{p}}$

is a non-decreasing function of  $\rho$ , hence  $\lim_{\rho \downarrow 0} \frac{\mu(B_\rho(\xi))}{\rho^n}$  exists

(and is the same as  $\lim_{\rho \downarrow 0} \frac{\mu(\bar{B}_\rho(\xi))}{\rho^n}$ ).  $[\lim_{\rho \downarrow 0} \frac{\mu(B_\rho(\xi))}{\rho^n} \leq \lim_{\rho \downarrow 0} \frac{\mu(\bar{B}_\rho(\xi))}{\rho^n}]$

$$\leq \lim_{\rho \downarrow 0} \frac{\mu\left(B_{\rho\left(1+\frac{1}{m}\right)}(\xi)\right)}{\left[\rho\left(1+\frac{1}{m}\right)\right]^n} \left(1 + \frac{1}{m}\right)^n = \lim_{\rho \downarrow 0} \frac{\mu(B_\rho(\xi))}{\rho^n} \left(1 + \frac{1}{m}\right)^n, \forall m \in \mathbb{N}$$

Now for the proof of corollary,

$$\Theta^n(\mu, x) \geq \limsup_{y \rightarrow x} \Theta^n(\mu, y)$$

$$\Leftrightarrow \Theta^n(\mu, x) \geq \limsup_{\varepsilon \downarrow 0} \Theta^n(\mu, y)_{|y-x|<\varepsilon}$$

$$\Leftrightarrow \forall \delta \in (0, 1), \frac{\Theta^n(\mu, x)}{\delta} > \limsup_{\varepsilon \downarrow 0} \Theta^n(\mu, y)_{|y-x|<\varepsilon}$$

$$\Leftrightarrow \forall \delta \in (0, 1), \exists \varepsilon > 0, \text{s.t. if } |y - x| < \varepsilon, \text{ then } \Theta^n(\mu, y) < \frac{\Theta^n(\mu, x)}{\delta}$$

$$\Leftrightarrow \forall \delta \in (0, 1), \exists \varepsilon > 0, \text{s.t. if } |y - x| < \varepsilon, \text{ then } [\Theta^n(\mu, y)]^{\frac{1}{p}} < \left[ \frac{\Theta^n(\mu, x)}{\delta} \right]^{\frac{1}{p}}$$

Thus we prove the last assertion, to this end, let  $\sigma \downarrow 0$ , we get

$$\begin{aligned} [\Theta^n(\mu, y)]^{\frac{1}{p}} &\leq \left[ \frac{\mu(B_\rho(y))}{\omega_n \rho^n} \right]^{\frac{1}{p}} + \frac{\Gamma}{(p-n) \omega_n^{\frac{1}{p}}} \rho^{1-\frac{n}{p}} \\ &\leq \left[ \frac{\mu(B_{\rho+\varepsilon}(x))}{\omega_n (\rho+\varepsilon)^n} \right]^{\frac{1}{p}} \left[ 1 + \frac{\varepsilon}{\rho} \right]^{\frac{n}{p}} + c(x, p, n) \rho^{1-\frac{n}{p}} \\ &< \left[ \frac{\Theta^n(\mu, x)}{\delta} \right]^{\frac{1}{p}} \end{aligned}$$

if we take  $\varepsilon \ll \rho$  small enough.

## 17.9 Remarks

(1) If  $\theta \geq 1 \mu - a.e. U$ ,  $H \in L_{loc}^p(U)$ ,  $p > n$  as in 17.8, then

$\Theta^n(\mu, x) \geq 1$  at each point of  $spt\mu \cap U$ , and hence we can write

$$V\angle U = v(M_*, \theta_*) \text{ where } M_* = spt\mu \cap U, \theta_* = \Theta^n(\mu, x), x \in U.$$

Thus  $V\angle U$  is represented in terms of a relatively closed countably  $n$ -rectifiable set with upper-semi-continuous multiplicity function.

Observe that

$$\mathcal{H}^n(A \cap M) = \int_{A \cap M} d\mathcal{H}^n = \int_{A \cap M} \frac{1}{\theta(x)} \theta(x) d\mathcal{H}^n(x) = \int_{A \cap M} \frac{1}{\theta(x)} d\mu(x)$$

for any  $\mathcal{H}^n$ -measurable subset of  $\mathbb{R}^{n+k}$ .  $\mu - a.e.$  is equivalent to  $\mathcal{H}^n - a.e.$  on  $M$ , the Remark is "understandable".

✓ For density

$$\begin{aligned} \Theta^n(\mu, x) &= \lim_{\rho \downarrow 0} \frac{\mu(B_\rho(x))}{\omega_n \rho^n} = \lim_{\rho \downarrow 0} \frac{\int_{B_\rho(x) \cap M} \theta d\mathcal{H}^n}{\omega_n \rho^n} \\ &= \lim_{\rho \downarrow 0} \frac{\int_{B_\rho(x) \cap N_j} \theta d\mathcal{H}^n}{\omega_n \rho^n} \geq 1 \end{aligned}$$

where  $N_j$  is  $C^1$ -submanifold of  $\mathbb{R}^{n+k}$  with  $x \in N_j$ , such  $N_j$  exists by 11.7.

✓ For varifold

$$\mathcal{H}^n(M \cap (U \sim spt \mu)) = \int_{M \cap (U \sim spt \mu)} d\mathcal{H}^n = \int_{U \sim spt \mu} \frac{1}{\theta} d\mu = 0$$

(2) If  $\xi \in U$ ,  $\Theta^n(\mu, \xi) \geq 1$ , and  $\left( \frac{1}{\omega_n} \int_{B_R(\xi)} |H|_+^p d\mu \right)^{\frac{1}{p}} \leq \Gamma \left( 1 - \frac{n}{p} \right)$ ,

where  $\bar{B}_R(\xi) \subset U$  and  $p > n$ , then both inequalities 17.6(1), (2)

hold with  $\Lambda = 2\Gamma R^{-\frac{n}{p}}$  and  $\alpha = 1 - \frac{n}{p}$ , provided  $\Gamma R^{1-\frac{n}{p}} \leq \frac{1}{2}$ .

**Proof** Notice first that

$$\int_{B_\rho(\xi)} |H|_+ d\mu \leq \left( \int_{B_\rho(\xi)} |H|_+^p d\mu \right)^{\frac{1}{p}} \mu(B_\rho(\xi))^{1-\frac{1}{p}} \leq \Gamma \left( 1 - \frac{n}{p} \right) \omega_n^{\frac{1}{p}} \mu(B_\rho(\xi))^{1-\frac{1}{p}}$$

We estimate  $\mu(B_\rho(\xi))$  using 17.7 as follows (letting  $\sigma \downarrow 0$ )

$$\begin{aligned} 1 &\leq \left[ \frac{\mu(B_\rho(\xi))}{\omega_n \rho^n} \right]^{\frac{1}{p}} + \frac{\Gamma \left( 1 - \frac{n}{p} \right) \omega_n^{\frac{1}{p}}}{p-n} \rho^{\frac{1-n}{p}} \\ &\leq \left[ \frac{\mu(B_\rho(\xi))}{\omega_n \rho^n} \right]^{\frac{1}{p}} + \Gamma \rho^{\frac{1-n}{p}} \leq \left[ \frac{\mu(B_\rho(\xi))}{\omega_n \rho^n} \right]^{\frac{1}{p}} + \frac{1}{2} \end{aligned}$$

Then  $\left[ \mu(B_\rho(\xi)) \right]^{\frac{1}{p}} \geq \frac{1}{2} [\omega_n \rho^n]^{\frac{1}{p}}$ , hence,

$$\begin{aligned} \int_{B_\rho(\xi)} |H|_+ d\mu &\leq \Gamma \left( 1 - \frac{n}{p} \right) \omega_n^{\frac{1}{p}} \cdot 2 \cdot [\omega_n \rho^n]^{-\frac{1}{p}} \cdot \mu(B_\rho(\xi)) \\ &\leq \left( 1 - \frac{n}{p} \right) 2 \Gamma \rho^{-\frac{n}{p}} \mu(B_\rho(\xi)) = \left( 1 - \frac{n}{p} \right) \cdot \left( 2 \Gamma R^{-\frac{n}{p}} \right) \left( \frac{\rho}{R} \right)^{-\frac{n}{p}} \mu(B_\rho(\xi)) \end{aligned}$$

Thus the hypothesis of 17.6 hold with  $\Lambda = 2\Gamma R^{-\frac{n}{p}}$  and

$$\alpha = 1 - \frac{n}{p}.$$

(3) Notice that either 17.6(1) or 17.7 give bounds of the form

$\mu(B_\sigma(\xi)) \leq \beta \sigma^n$ ,  $0 < \sigma < R$  for suitable constant  $\beta$ . Such an

inequality implies

$$\int_{B_\rho(\xi)} |x - \xi|^{\alpha-n} d\mu \leq \frac{n\beta\rho^\alpha}{\alpha}$$

for any  $\rho \in (0, R)$  and  $0 < \alpha < n$ .

**Proof** We just apply the follow lemma.

$$\begin{aligned} \int_{B_\rho(\xi)} |x - \xi|^{\alpha-n} d\mu &= \int_{B_\rho(\xi)} \left( \frac{1}{|x - \xi|^{n-\alpha}} - \frac{1}{\rho^{n-\alpha}} \right) d\mu + \frac{1}{\rho^{n-\alpha}} \mu(B_\rho(\xi)) \\ &= (n - \alpha) \int_{\frac{1}{\rho}}^{\infty} t^{n-\alpha-1} \mu \left\{ x; \frac{1}{|x - \xi|} > t \right\} dt + \frac{1}{\rho^{n-\alpha}} \mu(B_\rho(\xi)) \\ &= (n - \alpha) \int_{\frac{1}{\rho}}^{\infty} t^{n-\alpha-1} \mu \left( B_{\frac{1}{t}}(\xi) \right) dt + \frac{1}{\rho^{n-\alpha}} \mu(B_\rho(\xi)) \\ &\leq (n - \alpha) \int_{\frac{1}{\rho}}^{\infty} t^{n-\alpha-1} \beta \frac{1}{t^n} dt + \frac{1}{\rho^{n-\alpha}} \beta \rho^n \\ &= (n - \alpha) \beta \frac{1}{\alpha} \left( \frac{1}{\rho} \right)^{-\alpha} + \beta \rho^\alpha \\ &= \frac{n\beta\rho^\alpha}{\alpha} \end{aligned}$$

**17.10 Lemma** If  $X$  is an abstract space,  $\mu$  is a measure on  $X$ ,

$\alpha > 0$ .  $f \in L^1(\mu)$ ,  $f \geq 0$  and if  $A_t = \{x \in X; f(x) > t\}$ , then

$$\alpha \int_0^\infty t^{\alpha-1} \mu(A_t) dt = \int_{A_{t_0}} f^\alpha d\mu$$

More generally

$$\alpha \int_{t_0}^\infty t^{\alpha-1} \mu(A_t) dt = \int_{A_{t_0}} (f^\alpha - t_0^\alpha) d\mu$$

**Proof** It is a by-product of Fubini's theorem. More precise, we have

$$\begin{aligned}
& \alpha \int_{t_0}^{\infty} t^{\alpha-1} \mu(A_t) dt = \alpha \int_{t_0}^{\infty} t^{\alpha-1} \left( \int_{A_t} d\mu \right) dt \\
&= \alpha \int_{t_0}^{\infty} t^{\alpha-1} \left( \int_{A_{t_0}} \chi_{A_t} d\mu \right) dt = \alpha \int_{A_{t_0}} \left( \int_{t_0}^{\infty} t^{\alpha-1} \chi_{A_t} dt \right) d\mu \\
&= \int_{A_{t_0}} \left( \int_{t_0}^{f(x)} \alpha t^{\alpha-1} dt \right) d\mu(x) = \int_{A_{t_0}} (f^\alpha - t_0^\alpha) d\mu
\end{aligned}$$

**17.11 Lemma** Suppose  $\theta \geq 1$   $\mu$ -a.e. in  $U$ ,  $H \in L_{loc}^p(\mu)$  in  $U$

for some  $p > n$ . If the approximate tangent space  $T_x V$  (see Section 15) exists at a given point  $x \in U$ , then  $T_x V$  is a "classical" tangent plane for  $spt \mu$  in the sense that

$$\lim_{\rho \downarrow 0} \left[ \sup_{y \in B_\rho(x) \cap spt \mu} \frac{dist(y, T_x V)}{\rho} \right] = 0$$

**Proof** For sufficiently small  $R$  (with  $B_{2R}(x) \subset U$ ), 17.7, 17.8

(with  $\sigma \downarrow 0$ ) evidently imply

$$(1) \quad \mu(B_\rho(\xi)) \geq \frac{1}{2} \omega_n \rho^n, \quad 0 < \rho < R, \quad \xi \in B_\rho(x) \cap spt \mu$$

More precise, since  $H \in L_{loc}^p(\mu)$ , we choose  $R, \Gamma > 0$  such that  $B_{2R}(x) \subset U$  and  $\left( \int_{B_{2R}(x)} |H|_+^p d\mu \right)^{\frac{1}{p}} \leq \Gamma$ . Then for any  $\xi \in B_\rho(x) \cap spt \mu$ ,  $0 < \rho < R$ ,  $\left( \int_{B_\rho(\xi)} |H|_+^p d\mu \right)^{\frac{1}{p}} \leq \left( \int_{B_{2R}(x)} |H|_+^p d\mu \right)^{\frac{1}{p}} \leq \Gamma$ ,

and by virtue of 17.7, 17.8, 17.9(1), we have

$$1 \leq \left[ \frac{\mu(B_\rho(x))}{\omega_n \rho^n} \right]^{\frac{1}{p}} + \frac{\Gamma}{p-n} \rho^{\frac{1-n}{p}}, \text{ thus } \mu(B_\rho(x)) \geq \frac{1}{2} \omega_n \rho^n \text{ if}$$

$$\left(1 - \frac{\Gamma}{p-n} R^{\frac{1-n}{p}}\right)^p \leq \frac{1}{2}, \text{ this is possible since we can first fix some}$$

$\Gamma$  for some  $R_0$ , then let  $R_0 > R \downarrow 0$  with  $\Gamma$  unchanged.

Using this we are going to prove that if  $\alpha \in \left(0, \frac{1}{2}\right)$  and

$\rho \in (0, R)$ , then

$$(2) \quad \begin{aligned} \mu\left(B_\rho(x) \sim \left\{y; \text{dist}(y, T_x V) < \varepsilon\rho\right\}\right) &< \frac{1}{2}\omega_n \alpha^n \rho^n \\ \Rightarrow B_{\frac{\rho}{2}(x)} \cap \text{spt } \mu &\subset \left\{y; \text{dist}(y, T_x V) < (\varepsilon + \alpha)\rho\right\} \end{aligned}$$

Indeed if  $\xi \in \left[B_{\frac{\rho}{2}}(x) \cap \text{spt } \mu\right] \cap \left\{y; \text{dist}(y, T_x V) \geq (\varepsilon + \alpha)\rho\right\}$ ,

then  $B_{\alpha\rho}(\xi) \subset B_\rho(x) \sim \left\{y; \text{dist}(y, T_x V) < \varepsilon\rho\right\}$  and hence the hypothesis of (2) implies  $\mu(B_{\alpha\rho}(\xi)) \leq \frac{1}{2}\omega_n \alpha^n \rho^n$ . On the other

hand, (1) implies  $\mu(B_{\alpha\rho}(\xi)) \geq \frac{1}{2}\omega_n \alpha^n \rho^n$ , so we have a

contradiction. Thus (2) is proved, and (2) evidently leads immediately to the required result.

More precise, by (5) in the Proof of Theorem 11.8, we've for any  $\varepsilon \in \left(0, \frac{1}{2}\right)$ ,

$$\lim_{\rho \downarrow 0} \frac{\mu\left(B_\rho(x) \cap X_{\sqrt{1-\varepsilon^2}}(\pi_x, x)\right)}{\omega_n \rho^n} = 0$$

[This is cone condition]

where  $\pi_x = (P_x)^\perp$ . Thus we can take  $\rho$  small enough such that

$$\frac{\mu\left(B_\rho(x) \sim \{y; \text{dist}(y, T_x V) < \varepsilon\rho\}\right)}{\omega_n \rho^n} < \frac{\varepsilon^n}{2}$$

[This is cylinder condition, you may take a picture to see it clearly.]

Hence

$$y \in B_{\frac{\rho}{2}}(x) \cap \text{spt } \mu \Rightarrow \frac{\text{dist}(y, T_x V)}{\frac{\rho}{2}} < 2(\varepsilon + \varepsilon) = 4\varepsilon$$