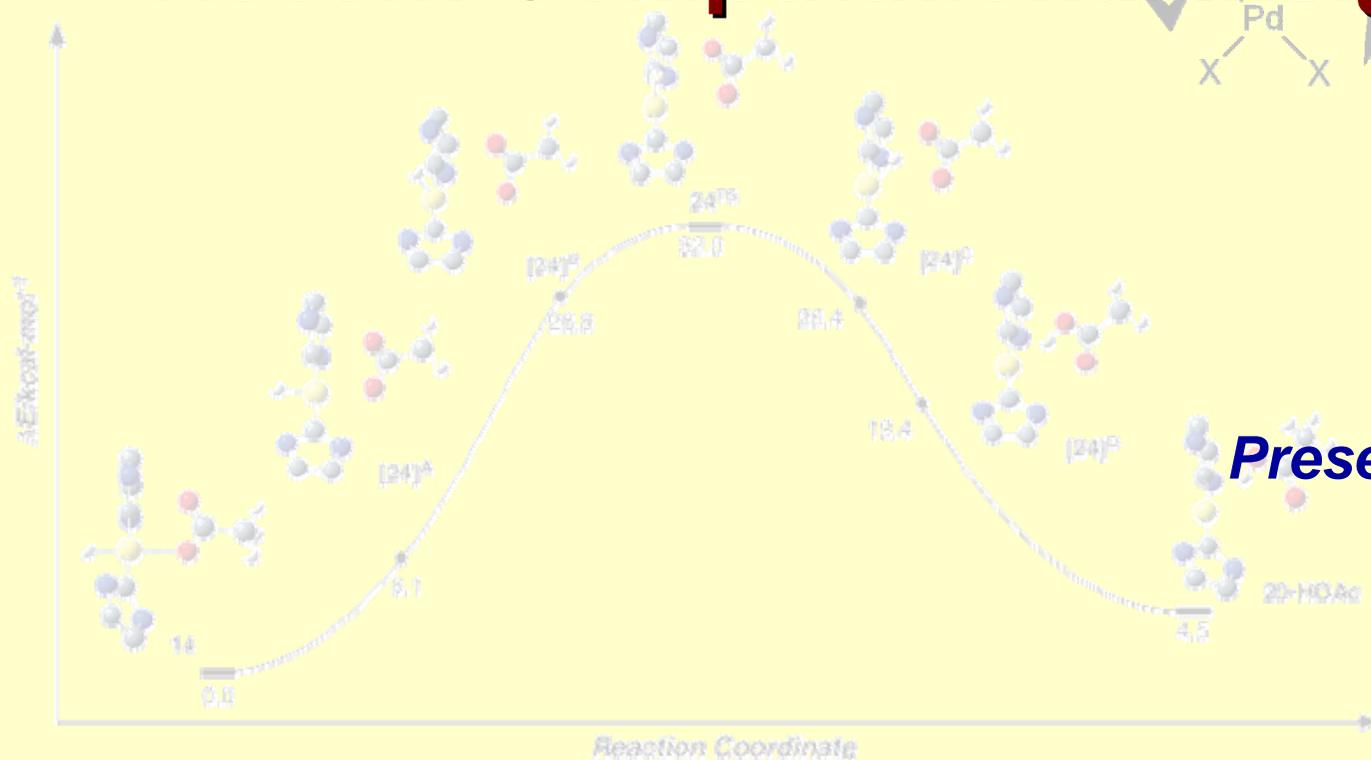
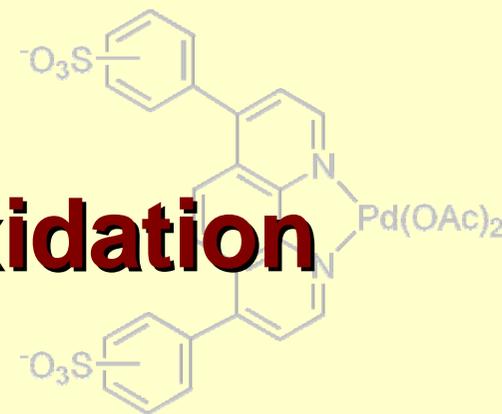


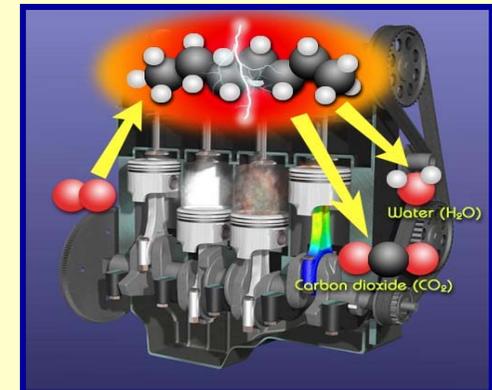
Palladium-Catalyzed Oxidation of Alcohols by Molecular Oxygen: Recent Computational Insights



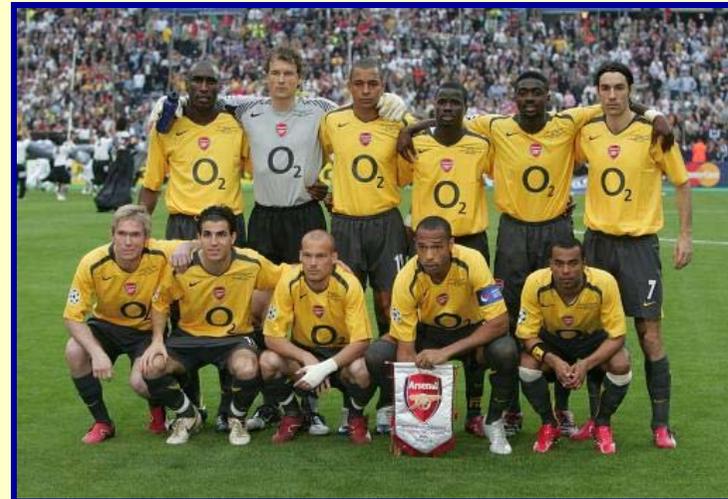
Presented by Dice

OUTLINE

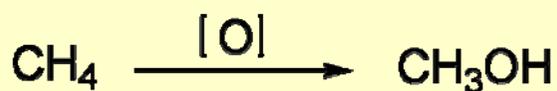
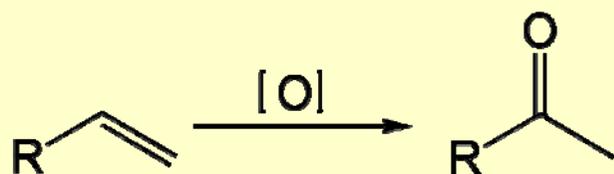
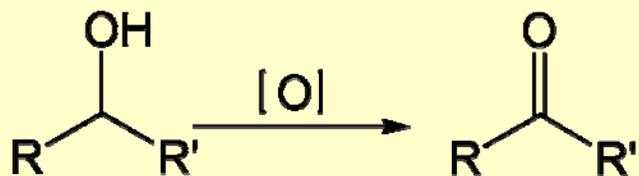
- Background
- Palladium Catalyst Systems for aerobic oxidation
 - 1. Pd(OAc)₂ / DMSO Catalyst System
 - 2. Pd(OAc)₂ / Pyridine Catalyst System
 - 3. Pd(OAc)₂ / NEt₃(TEA) Catalyst System
 - 4. Pd(OAc)₂ / Bathophenanthroline Catalyst System
 - 5. PdX₂ / (-)-Sparteine Catalyst System
 - 6. Palladacycle 6 Catalyst System
 - 7. Pd(OAc)₂ / NHC Catalyst System
- Summary



O₂ is essential for us!

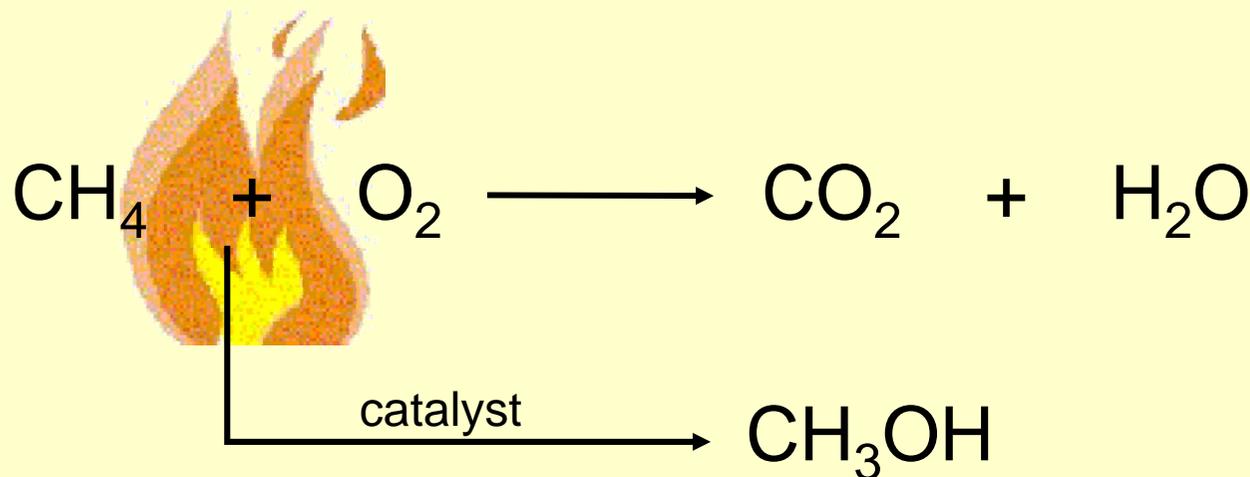


Chemical Transformations involving Oxidation



If [O] = O₂,
availability,
inexpensive,
environmentally friendly

However...



Dioxygen activation

The catalytic activation of dioxygen continues to attract interest both due to its biological importance and synthetic potential.

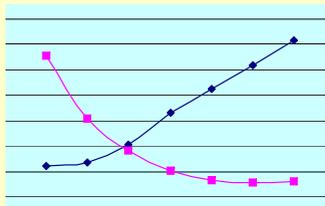
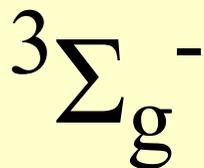
- (a) Metal-Dioxygen Complexes, *Chem. Rev.* **1994**, *94*, Issue 3.
- (b) Kopp, D. A.; Lippard, S. J. *Curr. Opin. Chem. Biol.* **2002**, *6*, 568.
- (c) Que, L., Jr.; Tolman, W. B. *Angew. Chem. Int. Ed.* **2002**, *41*, 1114.
- (d) *The Activation of Dioxygen and Homogeneous Catalytic Oxidation*, Barton, D. H. R.; Martell, A. E.; Sawyer, D. T. Eds, Plenum: New York, **1993**.
- (e) *Advances in Catalytic Activation of Dioxygen by Metal Complexes*, László I. Simándi eds, Kluwer: **2003**
- (f) *Dioxygen Chemistry: Account of Chemical Research*, **2007** ASAP

Spin state conversion hindered the theoretical studies



Binding mode of dioxygen with the metal complexes

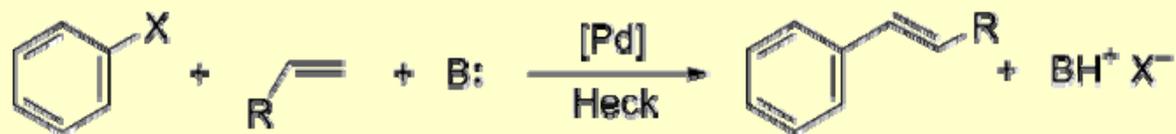
- (a) Bytheway, I.; Hall, M. B. *Chem. Rev.* **1994**, 94, 639.
- (b) Cramer, C. J.; Smith, B. A. Tolman, W. B. *J. Am. Chem. Soc.* **1996**, 118, 11283.
- (d) Franzen, S. *Proc. Natl. Acad. Sci. USA.* **2002**, 99, 16754
- (d) Cramer, C.J.; Tolman, W.B.; Theopold, K. H.; Rheingold, A. *Proc. Natl. Acad. Sci. USA.* **2002**, 99, 16754
- (f) Jensen, K. P.; Ryde, U. *J. Biol. Chem.* **2004**, 279, 14561



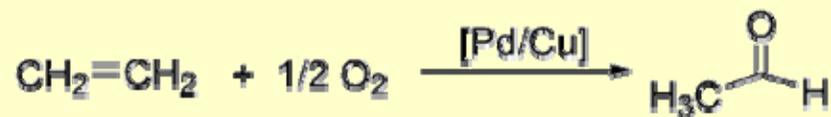
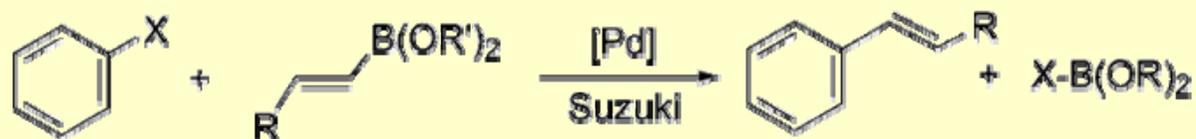
Dioxygen activation by the metal complexes

- (a) Yoshizawa, K.; Ohta, T.; Yamabe, T. Hoffmann, R. *J. Am. Chem. Soc.* **1997**, 119, 12311.
- (b) Brunold, T. C.; Solomon, E. I. *J. Am. Chem. Soc.* **1999**, 121, 8277.
- (d) Wirstam, M.; Lippard, S. J.; Friesner, R. A. *J. Am. Chem. Soc.* **2003**, 125, 3980.
- (e) Gherman, B. F.; Baik, M-H.; Lippard, S. J.; Friesner, R. A. *J. Am. Chem. Soc.* **2004**, 126, 2978.
- (f) Thepold, K. H. *Top. Organomet. Chem.* **2007**, 22, 17

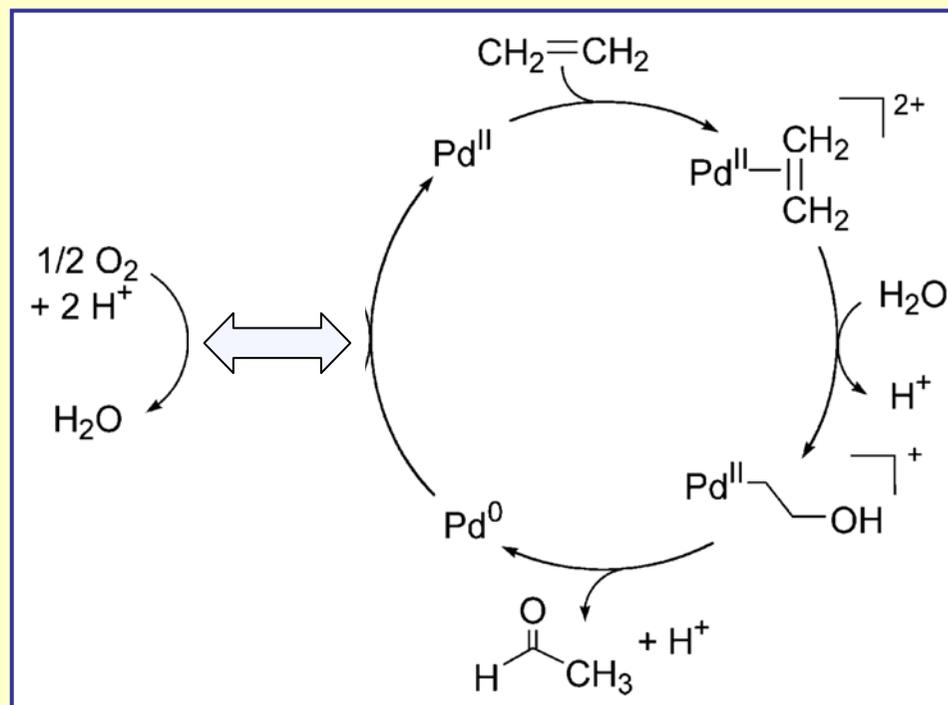
Palladium-Catalyzed Reactions



Cross-Coupling



Wacker Process



Takacs, J. M.; Jiang, X.T.
Curr. Org. Chem. **2003**, 7, 369

Representative Palladium Catalyst Systems

$\text{Pd}(\text{OAc})_2$ (5%) + Na_2CO_3 (10%) / DMSO

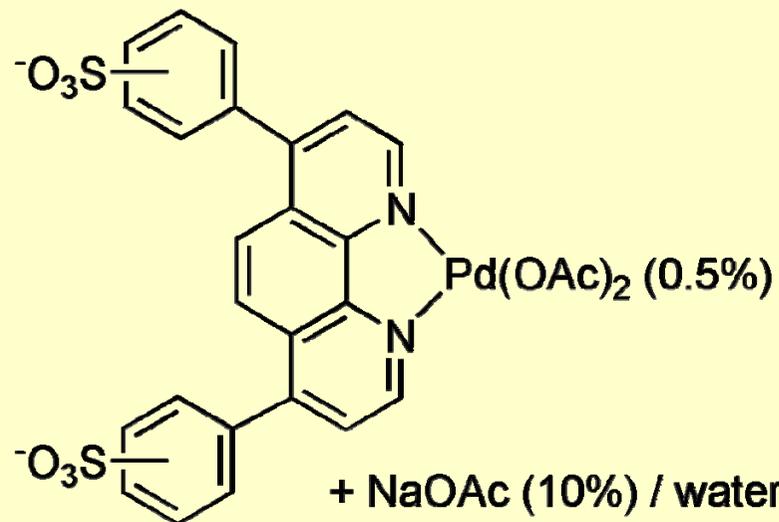
1

$\text{Pd}(\text{OAc})_2$ (5%) + pyridine (20%) / toluene

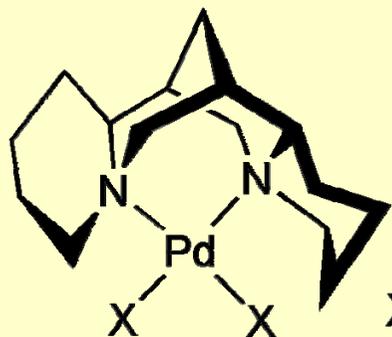
2

$\text{Pd}(\text{OAc})_2$ (3%) + NEt_3 (6%) / toluene

3



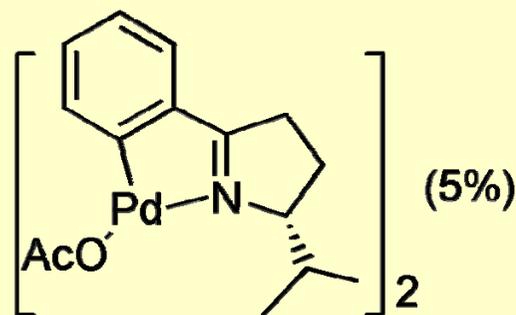
4



X = Cl, OAc,
 O_2CCF_3

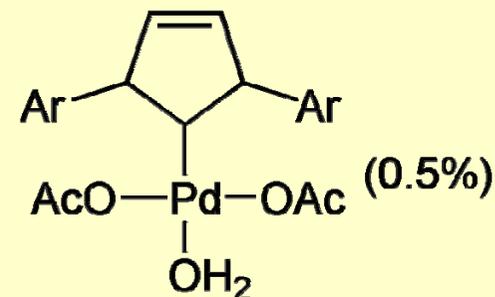
+ (-)-sparteine (20%) / toluene

5



+ pyridine (20%) / toluene

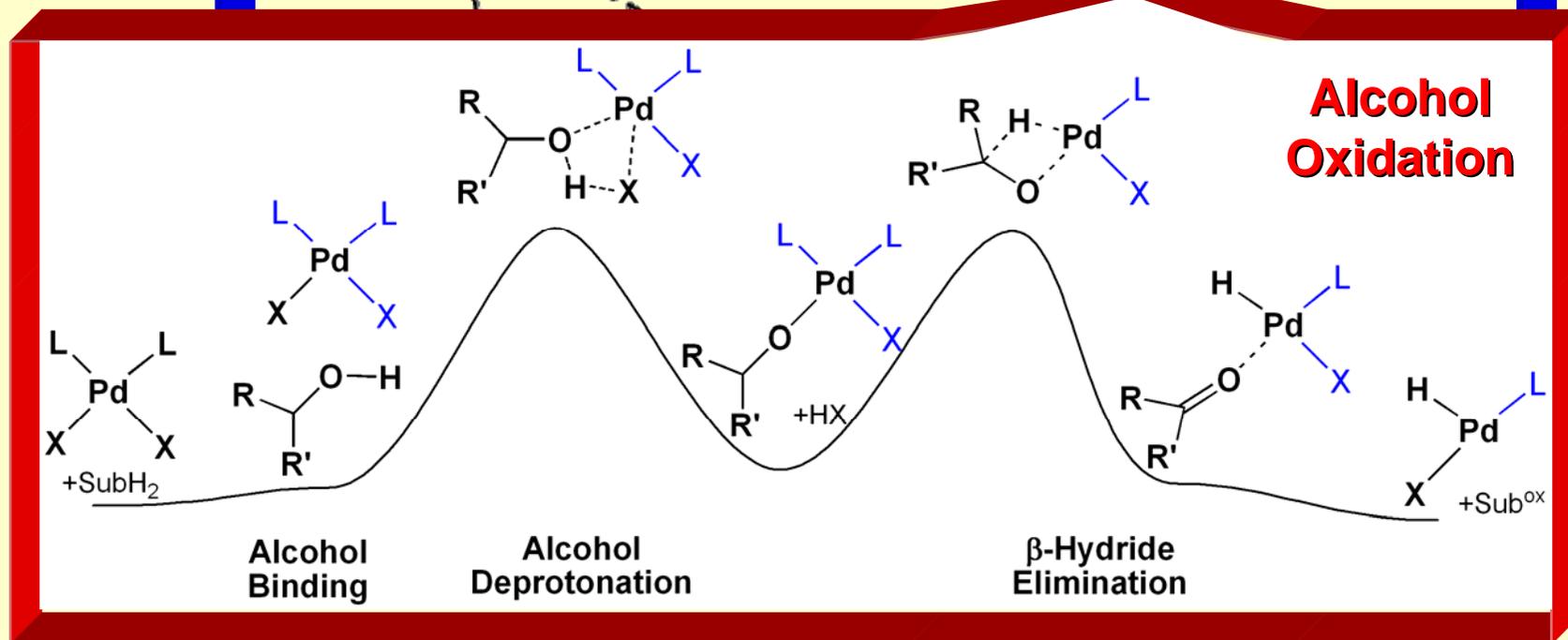
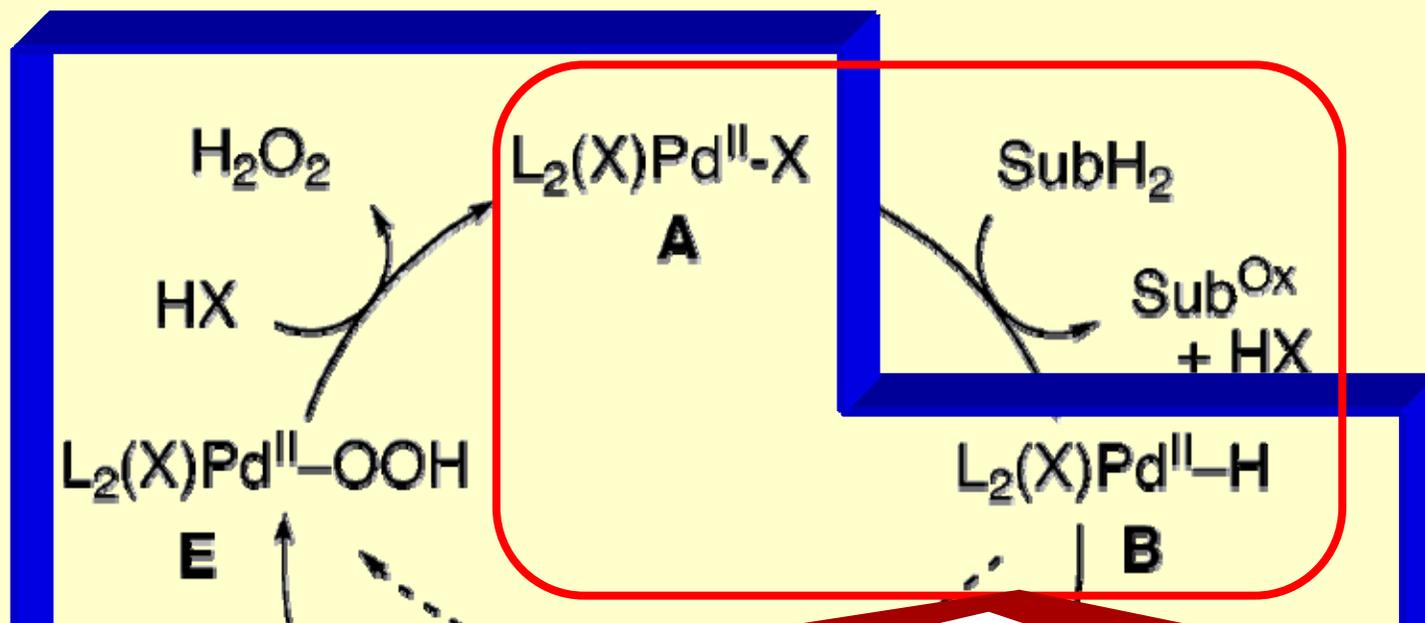
6



+ HOAc (5%) / toluene

7

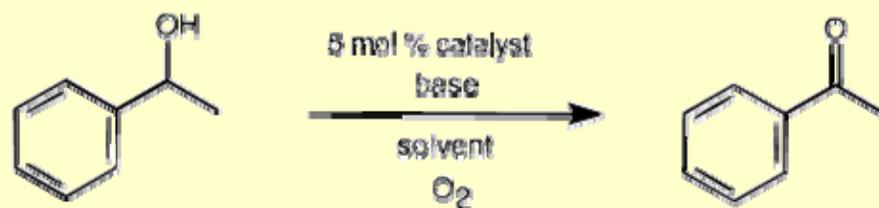
Mechanism of Pd Catalyzed Oxidation by O₂



1. Pd(OAc)₂ / DMSO Catalyst System

Pd(OAc)₂ (5%) + Na₂CO₃ (10%) / DMSO

First Breakthrough!



1. The reactions are relative slow ($< 1 \text{ TOh}^{-1}$)
2. Other Pd sources: PdCl₂, Pd(OCCF₃)₂ were significantly less effective
3. Other solvents: CH₃CN, DMSO/H₂O mixture led to lower yield.

Peterson, K. P.; Larock, R. C. *J. Org. Chem.* **1998**, 63, 3185

Mechanistic Study

- Kinetic studies indicate that oxidation of Pd(0) is the turnover-limiting step.
- DMSO may be important to hinder the decomposition of Pd(0) into bulk metal

Steinhoff, B. A.; Fix, S. R.; Stahl, S. S. *J. Am. Chem. Soc.* **2002**, 124, 766

2. Pd(OAc)₂ / Pyridine Catalyst System

Pd(OAc)₂ (5%) + pyridine (20%) / toluene

Most Versatile and simple!

1. Primary, secondary, benzylic, and allylic alcohols are oxidized
2. Other Pd sources: PdCl₂, Pd(OCCF₃)₂, Pd(PPh₃)₄ were ineffective
3. Other pyridine ligand were less effective, 2,2'-bipyridine inhibited the reaction

Nishimura, T.; Onoue, T.; Ohe, K.; Uemura, S. *Tetrahedron Lett.* **1998**, 39, 6011

3. Pd(OAc)₂ / NEt₃(TEA) Catalyst System

Pd(OAc)₂ (3%) + TEA (6%) / DCE or toluene

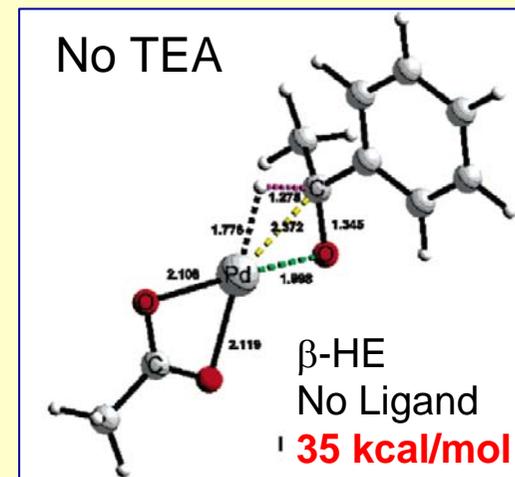
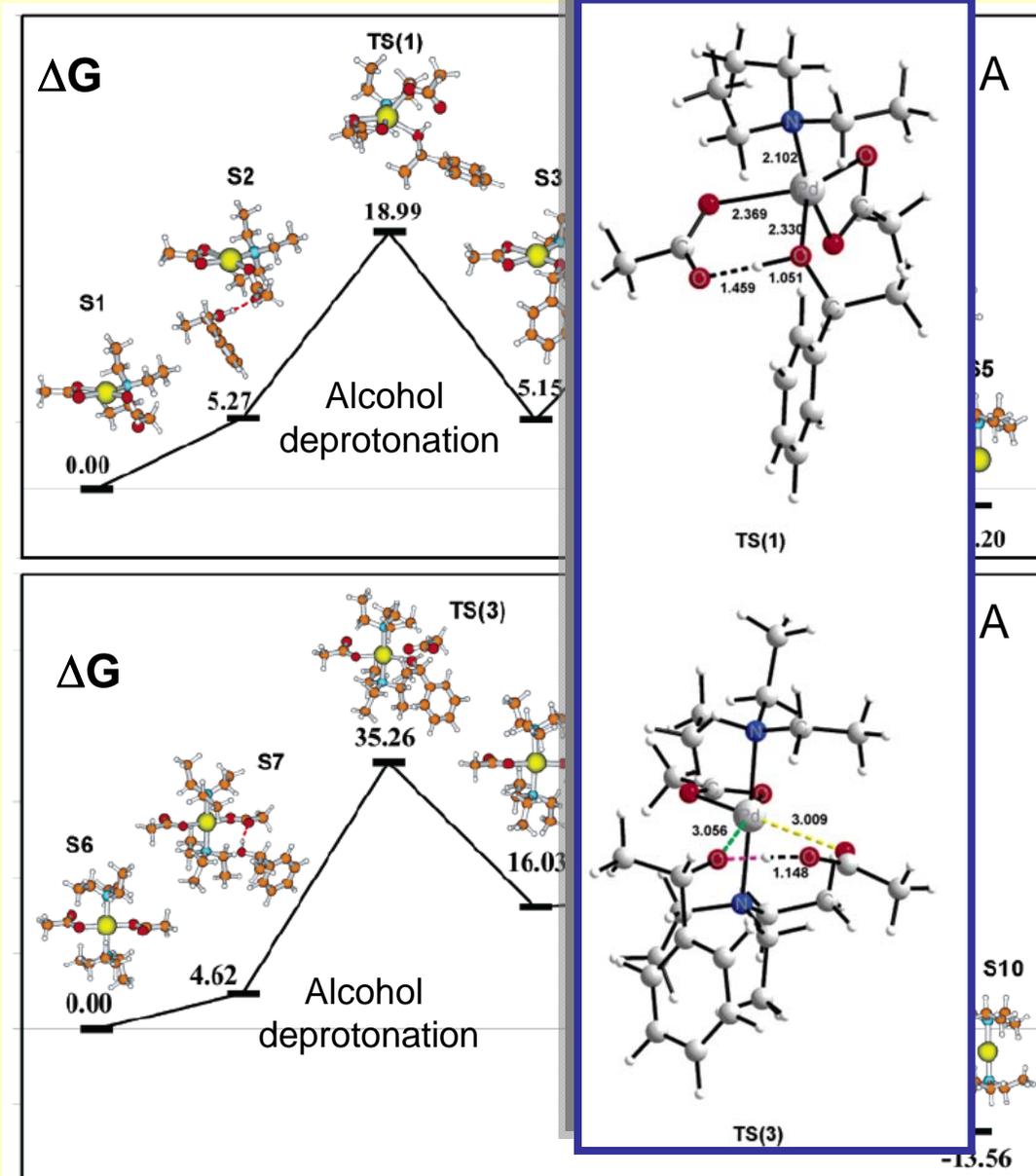
Room Temperature, Mildest!

1. Primary, secondary and benzylic alcohols are oxidized
2. Effective at room temperature

Schultz, M. J. Park, C. C.; Sigman, M. S. *Chem. Commun.* **2002**, 39, 3034

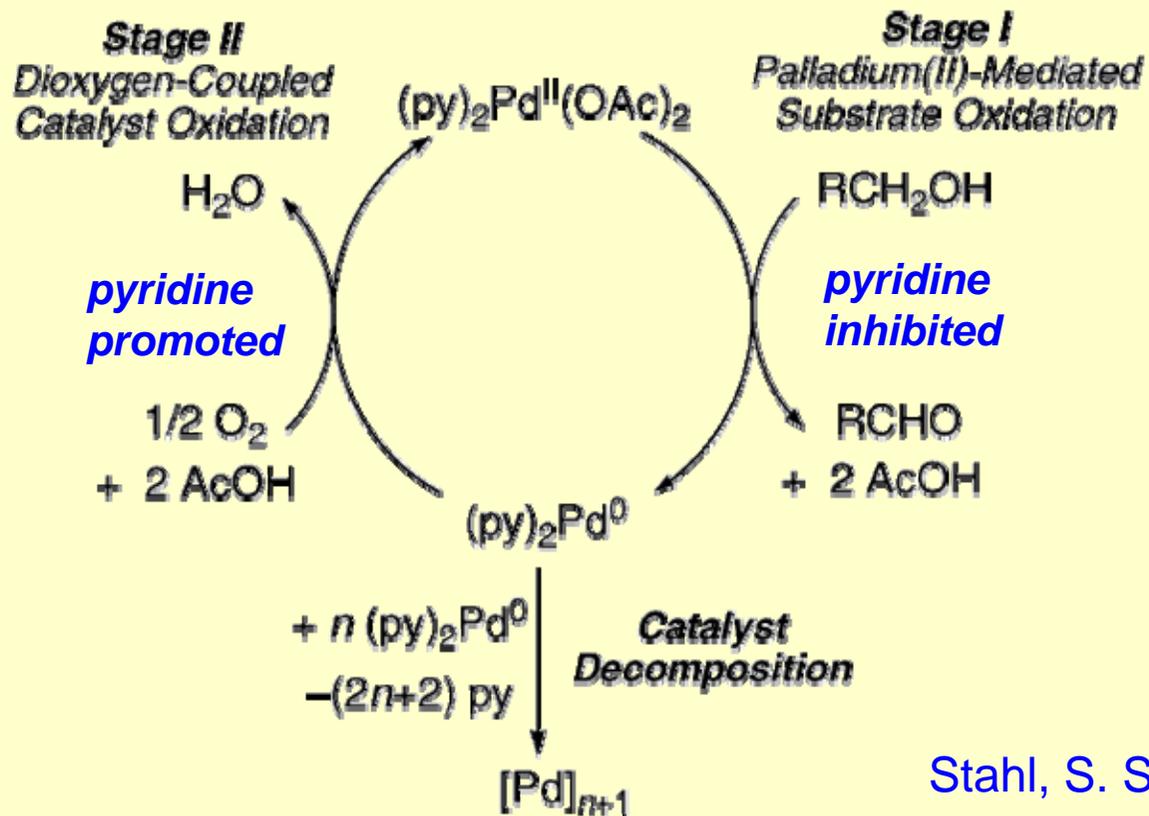
Mechanistic and Computational Studies of System 2 and 3

3
TEA



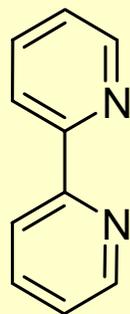
Path with ONE TEA is most favorable.

Rate-determining is alcohol deprotonation.

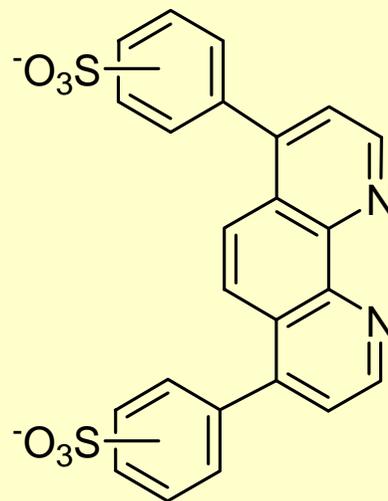


Stahl, S. S. *JACS*, **2004**, *126*, 11268

**2,2'-bipyridine
inhibits the reaction**



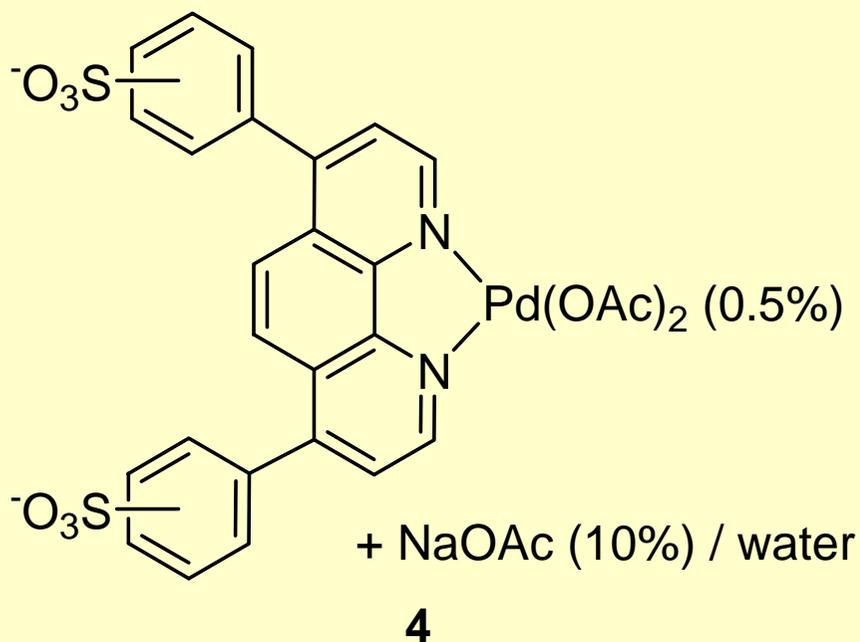
2,2'-bipyridine



**bathophenanthroline
disulfonate**

4. Pd(OAc)₂ / Bathophenanthroline Disulfonate Catalyst System

Aqueous! Low cat. loading!



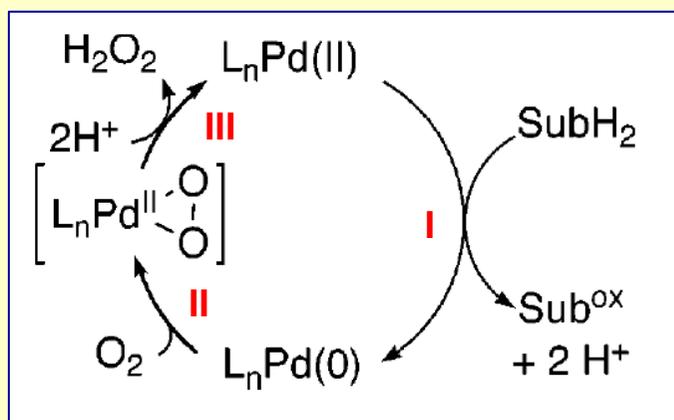
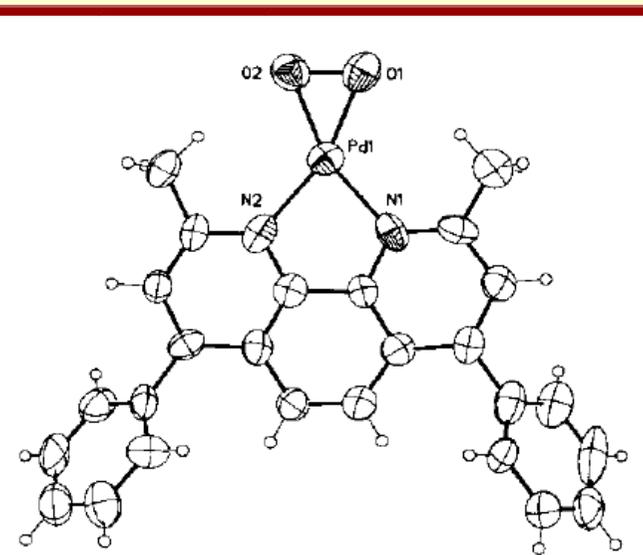
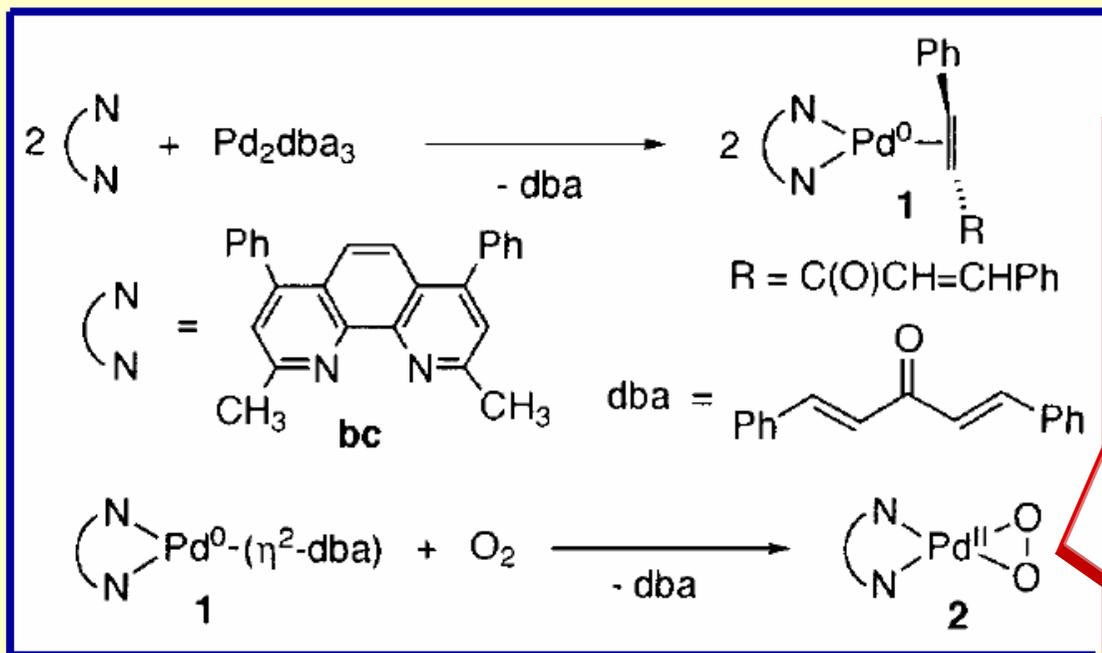
Substrate	Product	Time (hour)	Conversion (%)	Selectivity (%)	Isolated yield (%)
		5	100	100	90
		10	100	100	90
		5	100	100	90
		10	90	100	85
		10	95	83*	79
		10	100	100	92
		15	98	97‡	90
		12	95	90§	80
		10	100	96‡	88
		10	100	99.8‡	93

1. Aqueous solution!

- Primary, secondary, benzylic, and allylic alcohols are oxidized
- Catalytic rate (up to 100TOh⁻¹) and turnover numbers (200-400) are higher
- Non-coordinating anions, like triflate, lead to Pd black deposition.

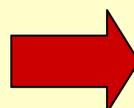
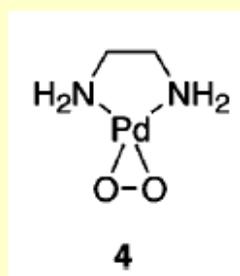
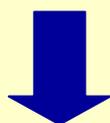
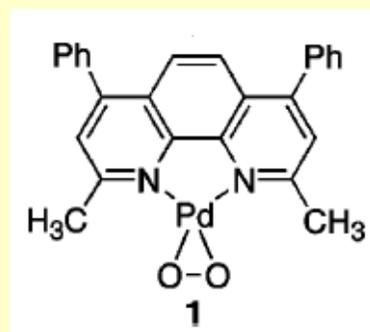
ten Brink, G.-J. Arends, I. W. C. E.; Sheldon, R. A. *Science*, **2000**, 287, 1636

Mechanistic Studies of System 4



The chemistry here demonstrates the chemical viability of steps II and III of the proposed mechanism.

Computational Studies of System 4



This "spin-forbidden" reaction is accessible

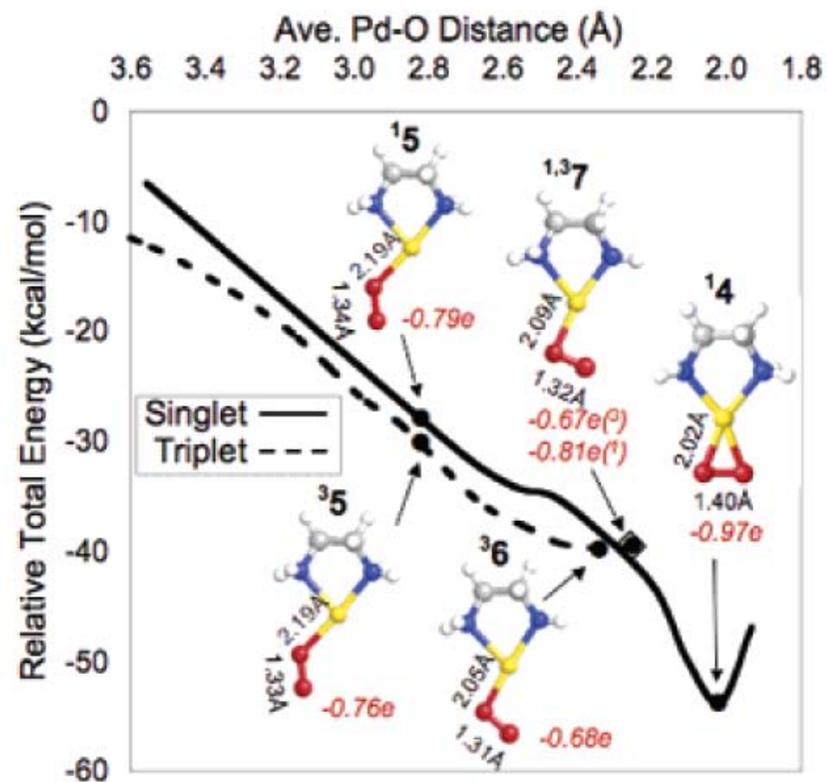


Figure 1. Singlet and triplet surfaces for the oxygenation of (en)Pd (2) in CH_2Cl_2 , calculated with the UB3LYP functional and PCM solvent model, showing total energies relative to the ground-state energy of ${}^3\text{O}_2 + (\text{en})\text{Pd}^0$, important bond lengths (Å), and natural charges of the O_2 fragment (red italics) as a function of the average Pd–O distance.

Computational Studies of System 4

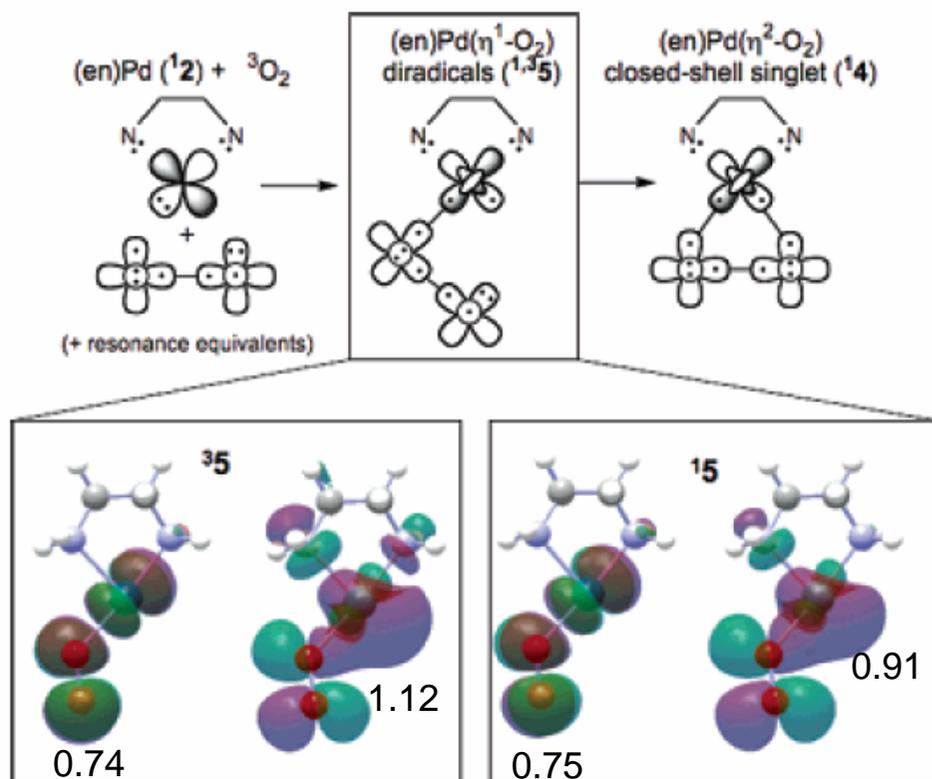


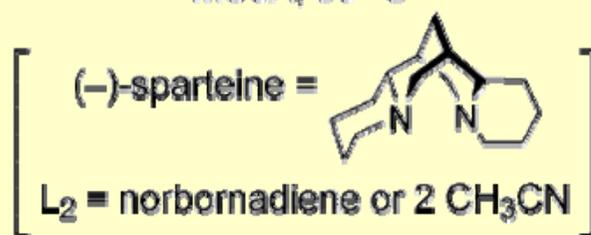
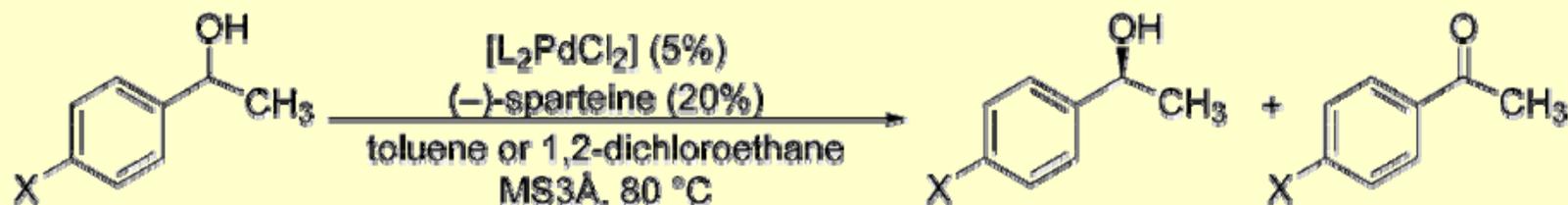
Figure 2. Evolution of the electronic structure during the oxygenation of ¹2 expressed in terms of generalized valence bond Lewis-like diagrams (top) and surface plots of Kohn–Sham orbitals bearing unpaired spin density (bottom) that demonstrate the similarity of singlet and triplet states at the geometry of 5. The Pd-centered dark and light lobes of the Lewis representations of 4 and 5 represent pairs of s–d hybridized orbitals with perpendicular, in-plane directionalities.

Single electron transfer from Pd⁰ to O₂, results in a triplet diradical with one spin localized on Pd and one on O₂.

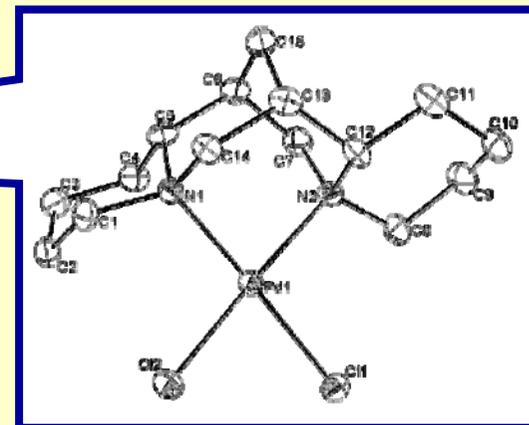
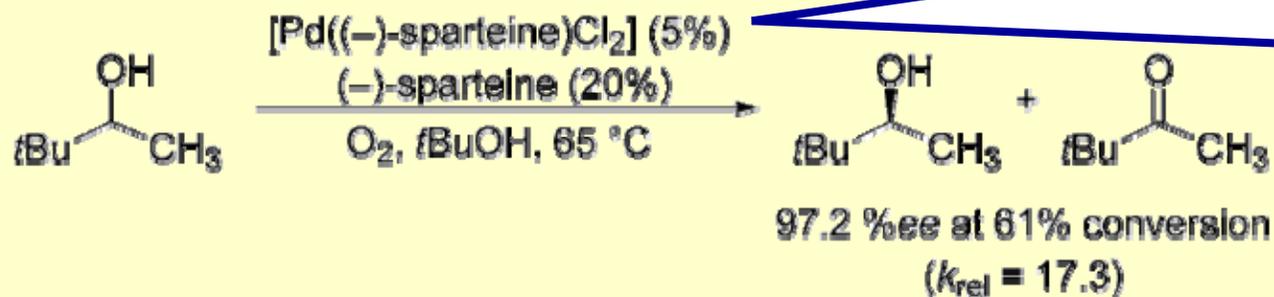
This “spin-forbidden” reaction is accessible

5. PdX₂ / (-)-Sparteine Catalyst System

Asymmetric! More Pd source



$k_{\text{rel}} = 7-47$
%ee varies depending
on conversion

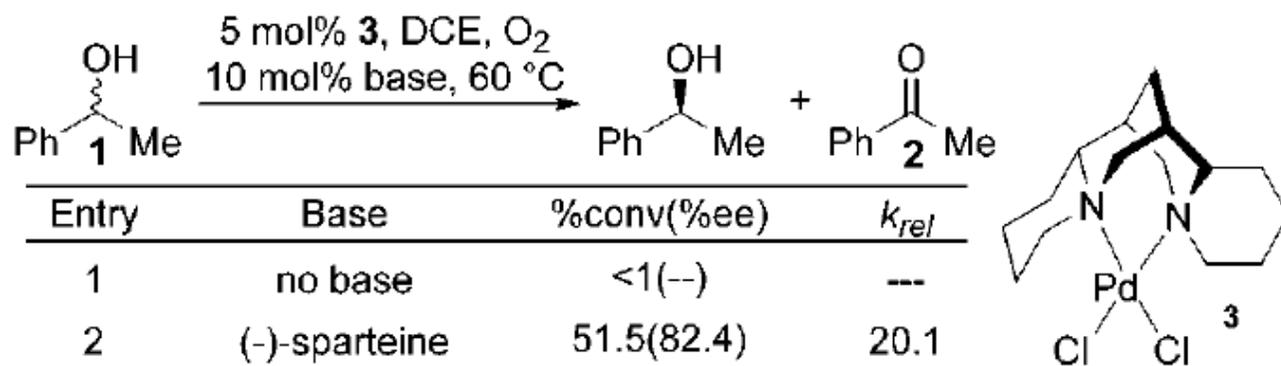


Kinetic Resolution

Ferreira, E. M.; Stoltz, B. M. *JACS*, **2001**, *123*, 7725

Jensen, D. R.; Pugsley, J. S.; Sigman, M. S. *JACS*, **2001**, *123*, 7475

Mechanistic Studies of System 5

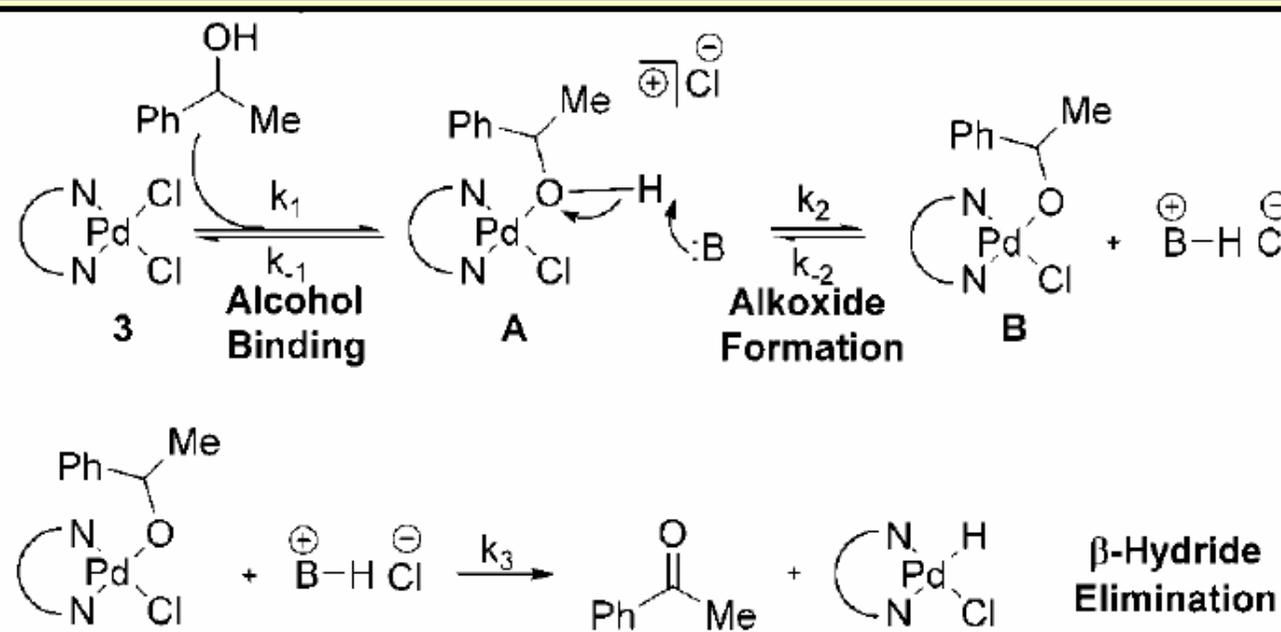


Dual roles of Sparteine:

1. Exogenous base to help alkoxide formation
2. Chiral Ligand for kinetic resolution

(-)-sparteine (mol %)	k_{obs} R-(1)	k_{obs} S-(1)	intrinsic k_{rel}	racemate k_{rel}^c
4 ^a	1.9×10^{-5}	3.1×10^{-6}	6.1	7.6 ± 2.0
50 ^b	7.5×10^{-5}	7.1×10^{-6}	11	25 ± 4.6

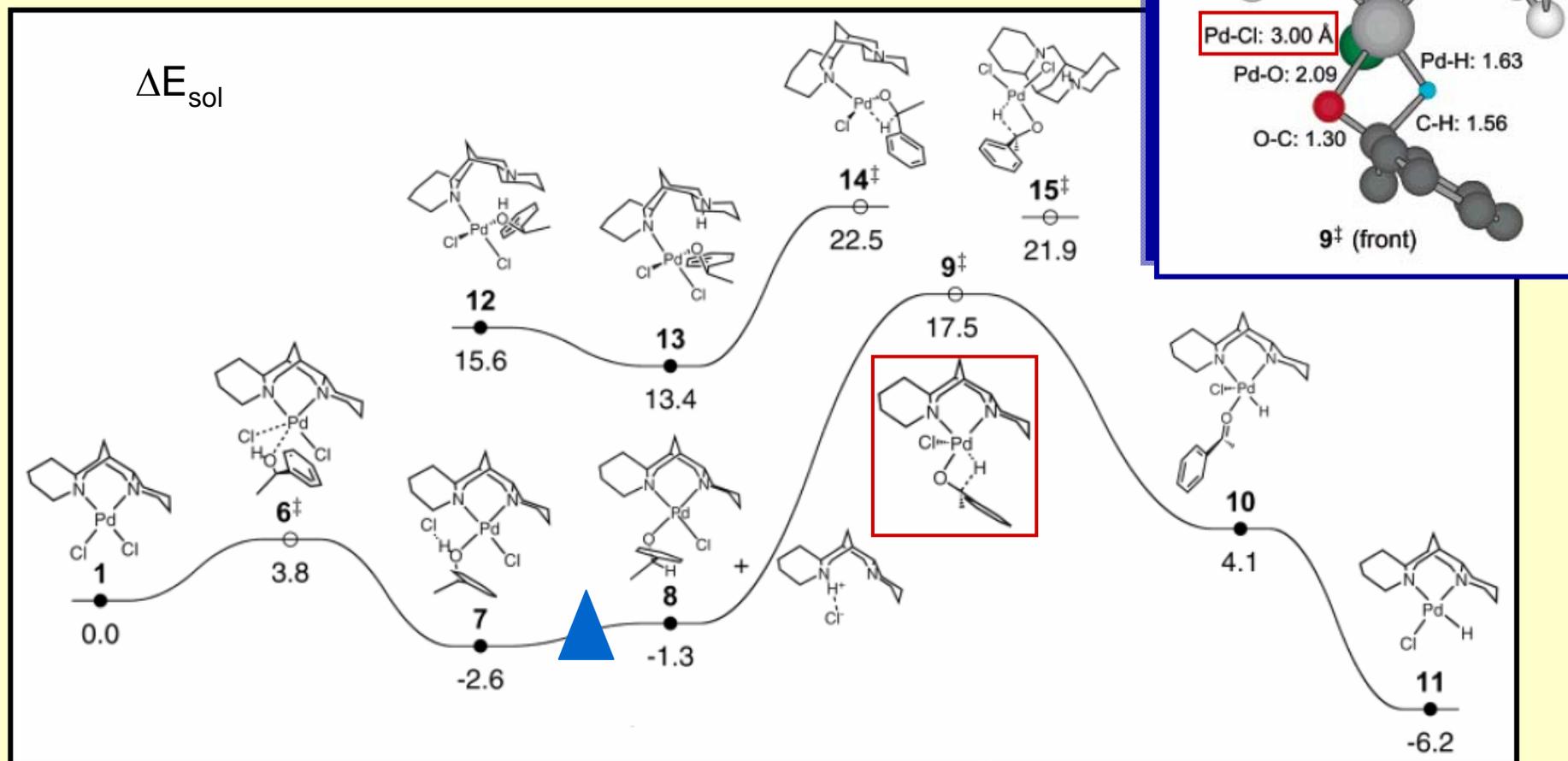
Rate-determining step is deprotonation of alcohol at low base con. RDS is β -Hydride elimination at high base con.



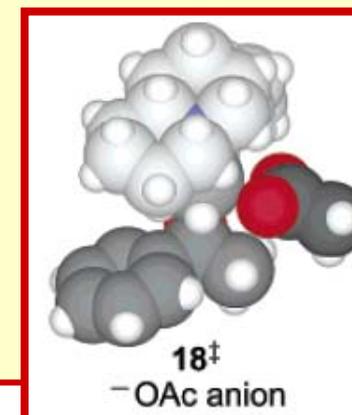
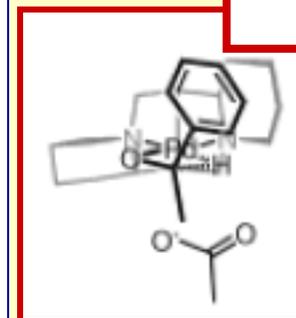
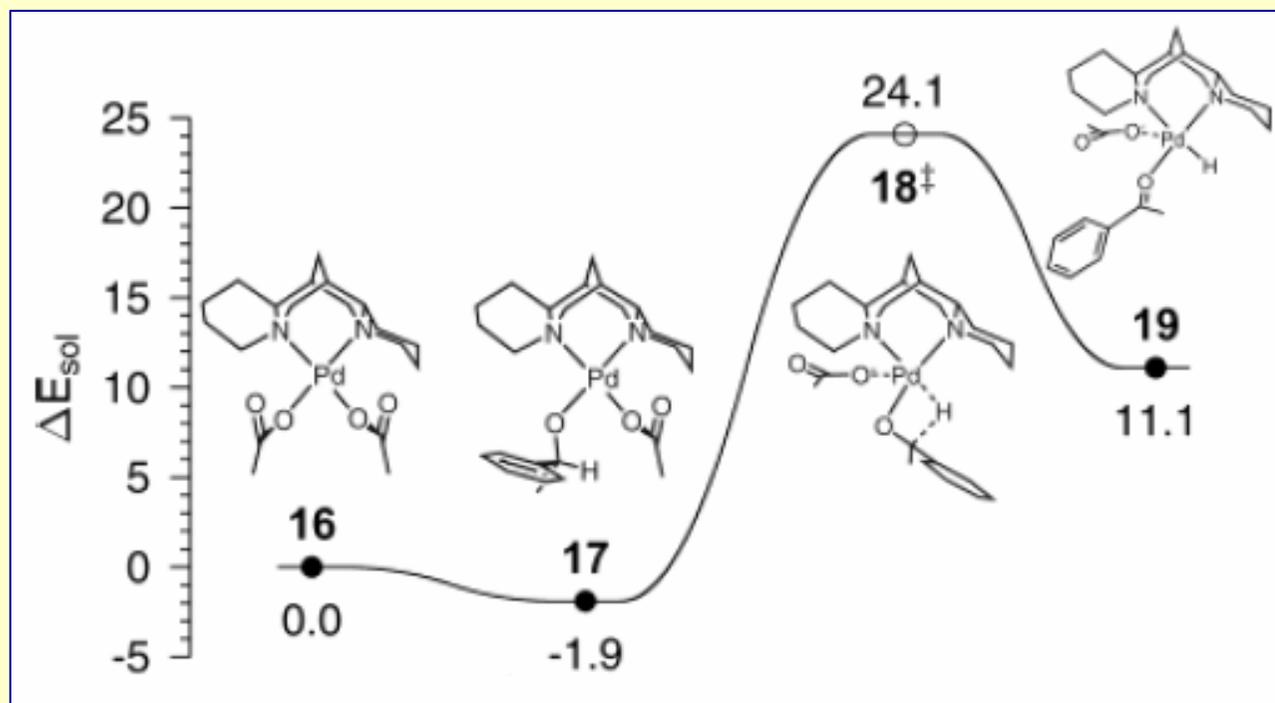
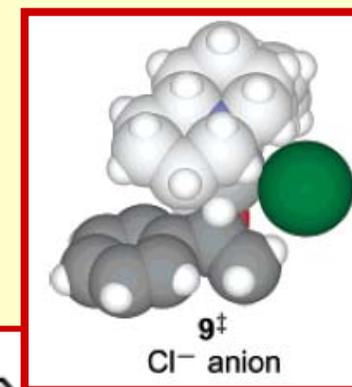
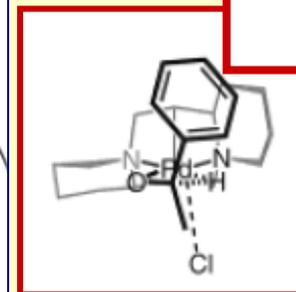
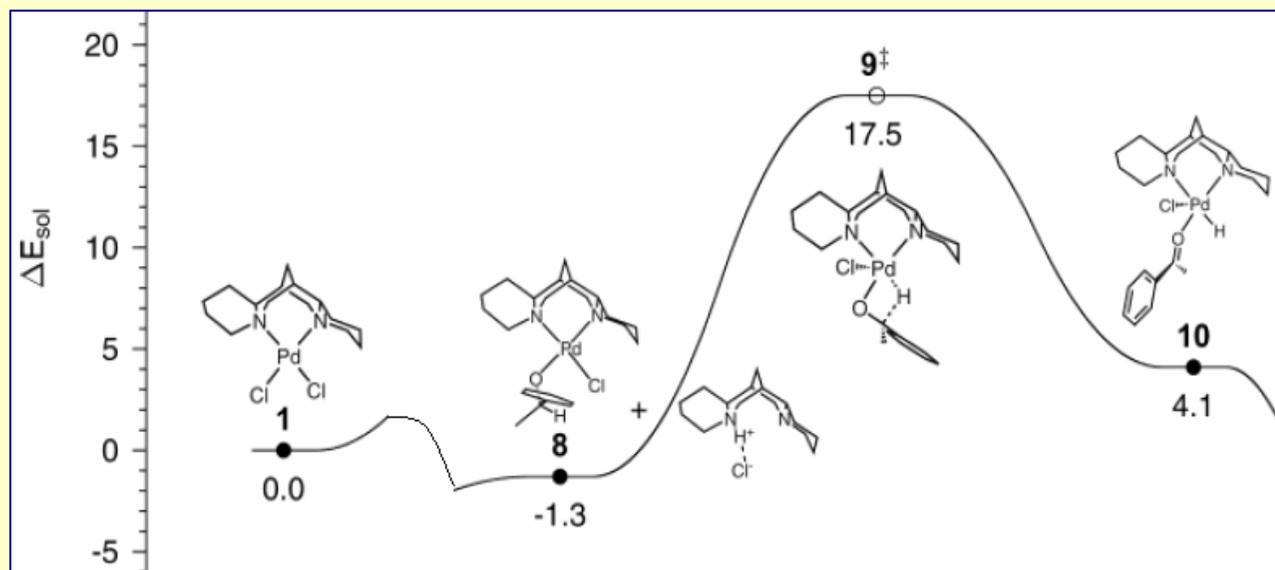
Mueller, J. A.; Jensen, D. R.; Sigman, M. S. *JACS*, **2002**, *124*, 8202

Computational Studies of System 5

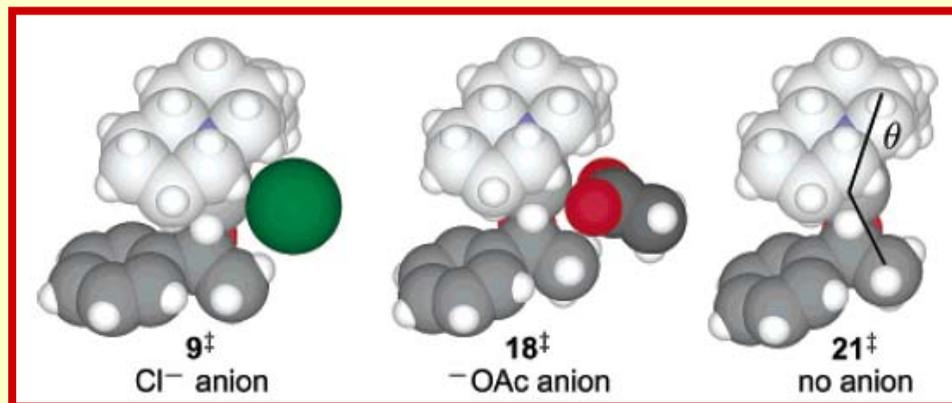
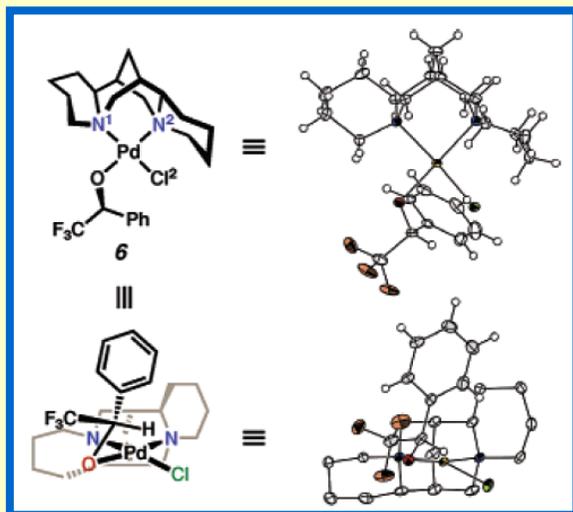
General Mechanism



Chloride vs Acetate



Selectivity

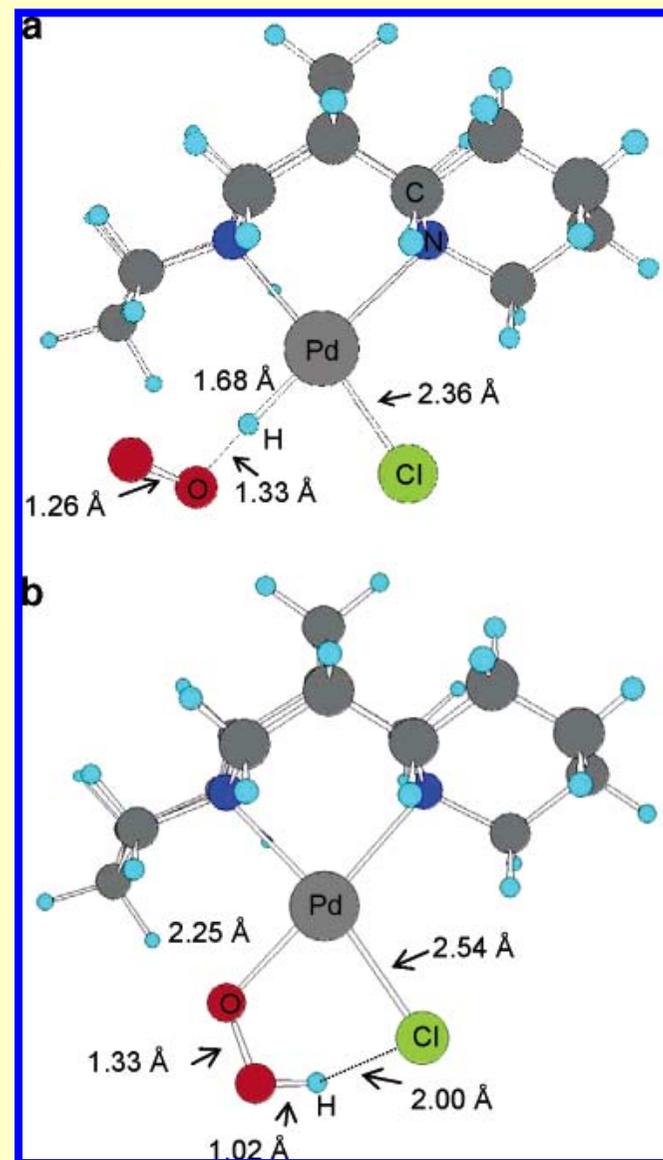
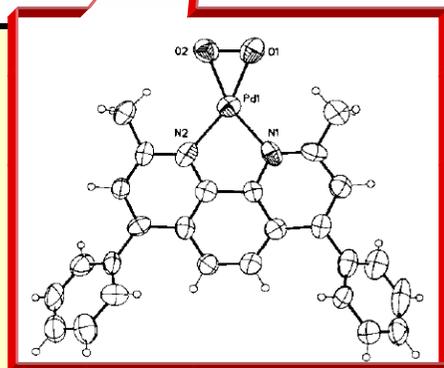
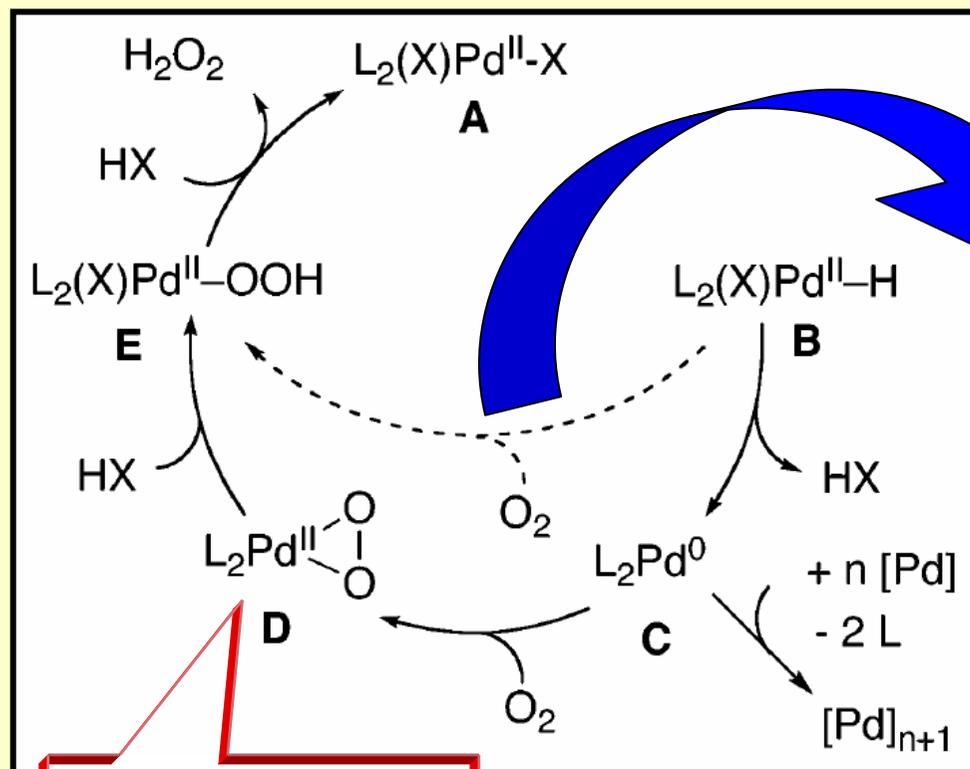


Entry	Substrate Anion	Solvent Temp	$E_{\text{sol}}^{\text{a}}$ ($\Delta E_{\text{sol}}^{\ddagger \text{b}}$), kcal/mol		E_{sol}		E_{sol}		E_{sol}		$s_{\text{calc}}^{\text{c}}$	$\Delta\Delta G_{\text{eff,calc}}$
			C-H $_{\beta}$ (Å)	Pd...X $^{\text{d}}$ (Å)	C-H $_{\beta}$	Pd...X	C-H $_{\beta}$	Pd...X	C-H $_{\beta}$	Pd...X		
			 R1		 R2		 S1		 S2			
1	Ar = C ₆ H ₅ X = Cl ⁻	toluene 60°C	0.0 (17.5) 1.61	3.11	1.73 1.64	3.23	2.82 1.52	3.11	2.03 1.62	3.28	17.7 20.0 ^f	1.9 2.0
2	Ar = <i>p</i> -MeOC ₆ H ₄ X = Cl ⁻	toluene 60°C	0.0 (16.6) 1.58	3.25	1.21 1.61	3.28	2.65 1.48	3.12	1.82 1.59	3.31	14.1 14.9 ^f	1.8 1.8
3	Ar = <i>p</i> -FC ₆ H ₄ X = Cl ⁻	toluene 60°C	0.0 (17.8) 1.61	3.24	1.18 1.64	3.23	2.36 1.53	3.11	1.63 1.62	3.31	10.3 12.1 ^f	1.5 1.6
4	Ar = 2-Naphthyl X = Cl ⁻	toluene 60°C	0.0 (15.7) 1.59	3.11	2.28 1.64	3.23	2.87 1.52	3.11	2.13 1.62	3.29	19.5 15.8 ^f	2.0 1.8

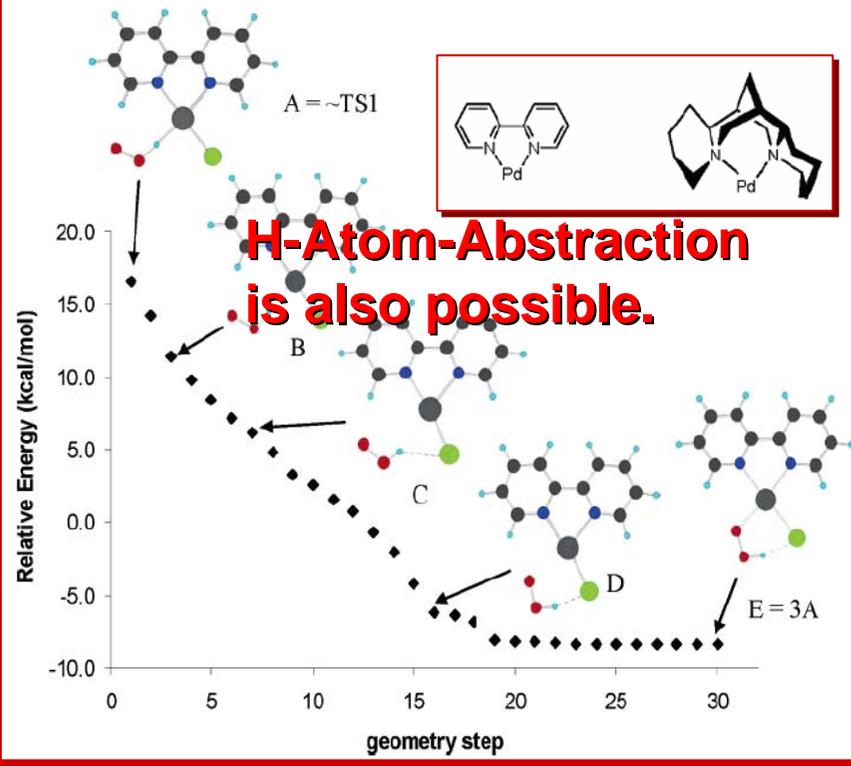
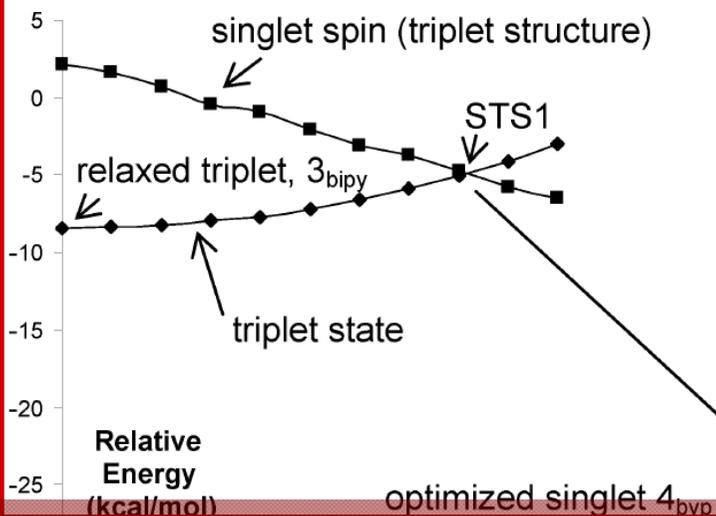
Trend, R. M.; Stoltz, B. M. *JACS*, **2004**, *126*, 4482

Nielsen, R. J.; Keith, J. M.; Stoltz, B. M.; Goddard, W. A., III. *JACS*, **2004**, *126*, 7967

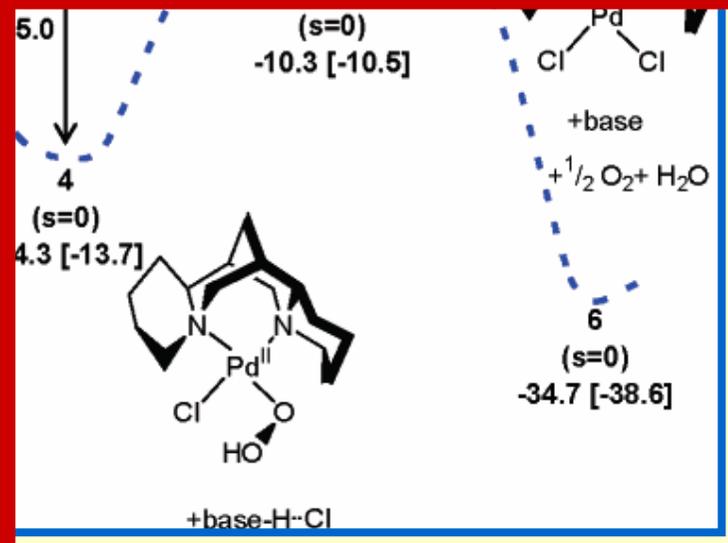
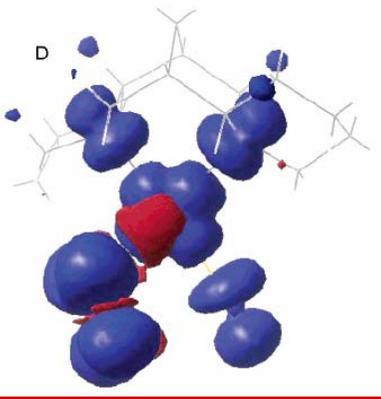
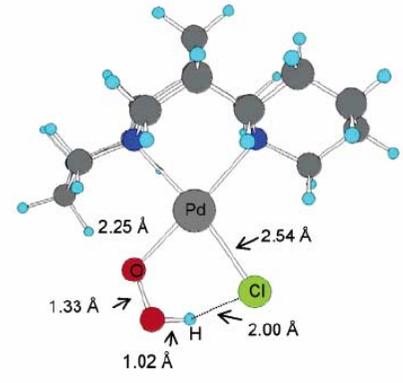
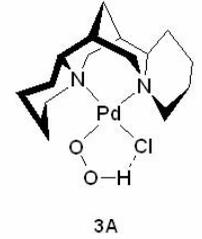
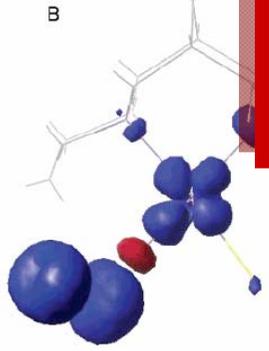
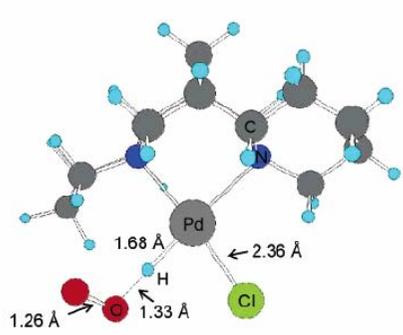
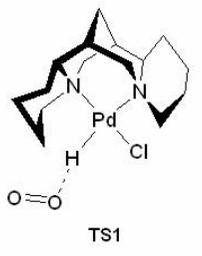
The Regeneration of the Pd Catalyst



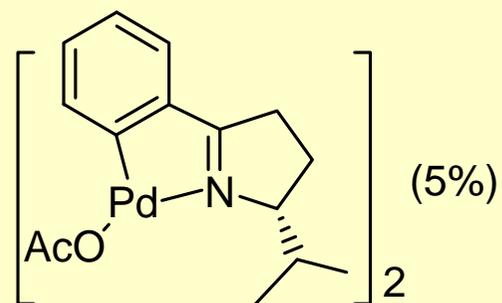
Spin Transition (STS1)



H-Atom-Abstraction is also possible.



6. Palladacycle 6 Catalyst System

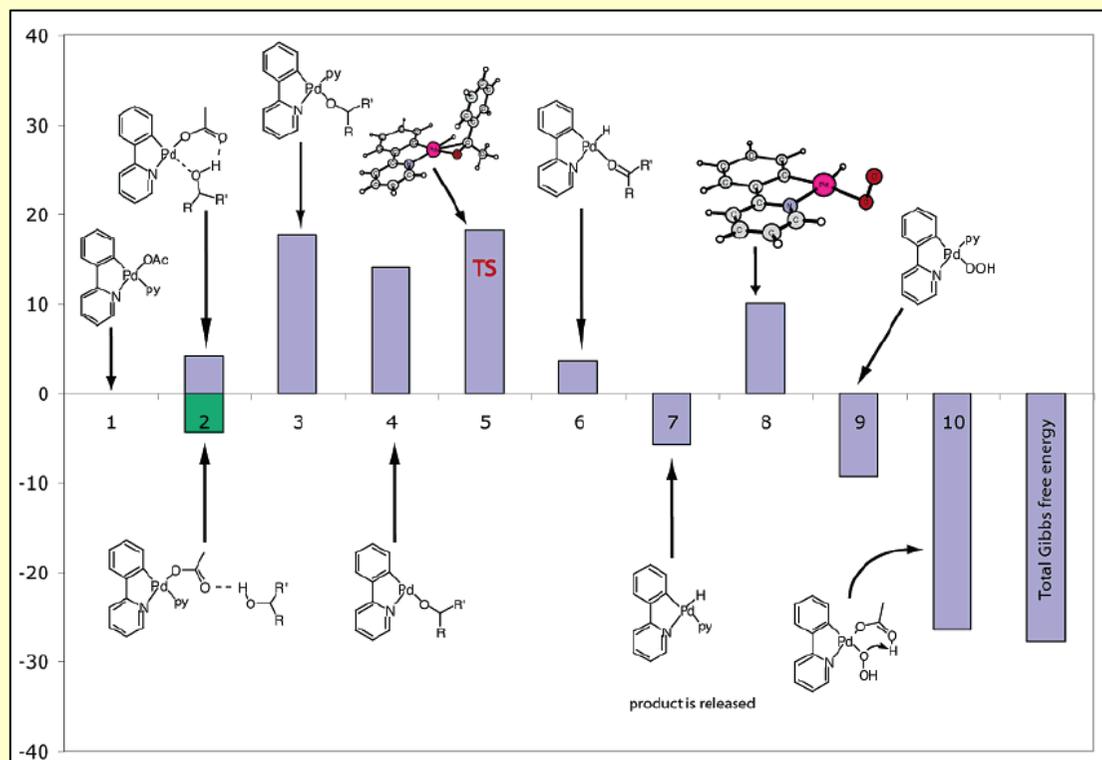


+ pyridine (20%) /toluene

6

No very special

Hallman, K.; Moberg, C.
Adv. Synth. Catal. **2001**, 343, 260

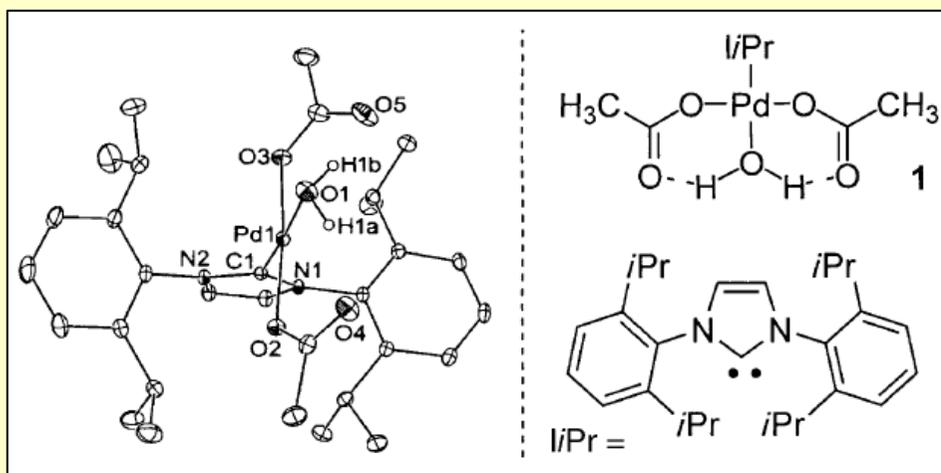
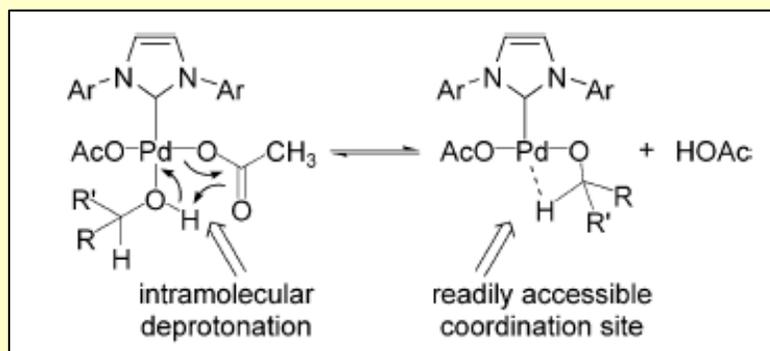


Privalov, T.; Linde, C.; Zetterberg, K.; Moberg, C.
Organometallics. **2005**, 24, 885

7. Pd(OAc)₂ / NHC Catalyst System

Aerobic Oxidation of Alcohols

A Well-Defined Complex for Palladium-Catalyzed Aerobic Oxidation of Alcohols: Design, Synthesis, and Mechanistic Considerations**



Low cat. loading, large sub. scope, potential asymmetric!

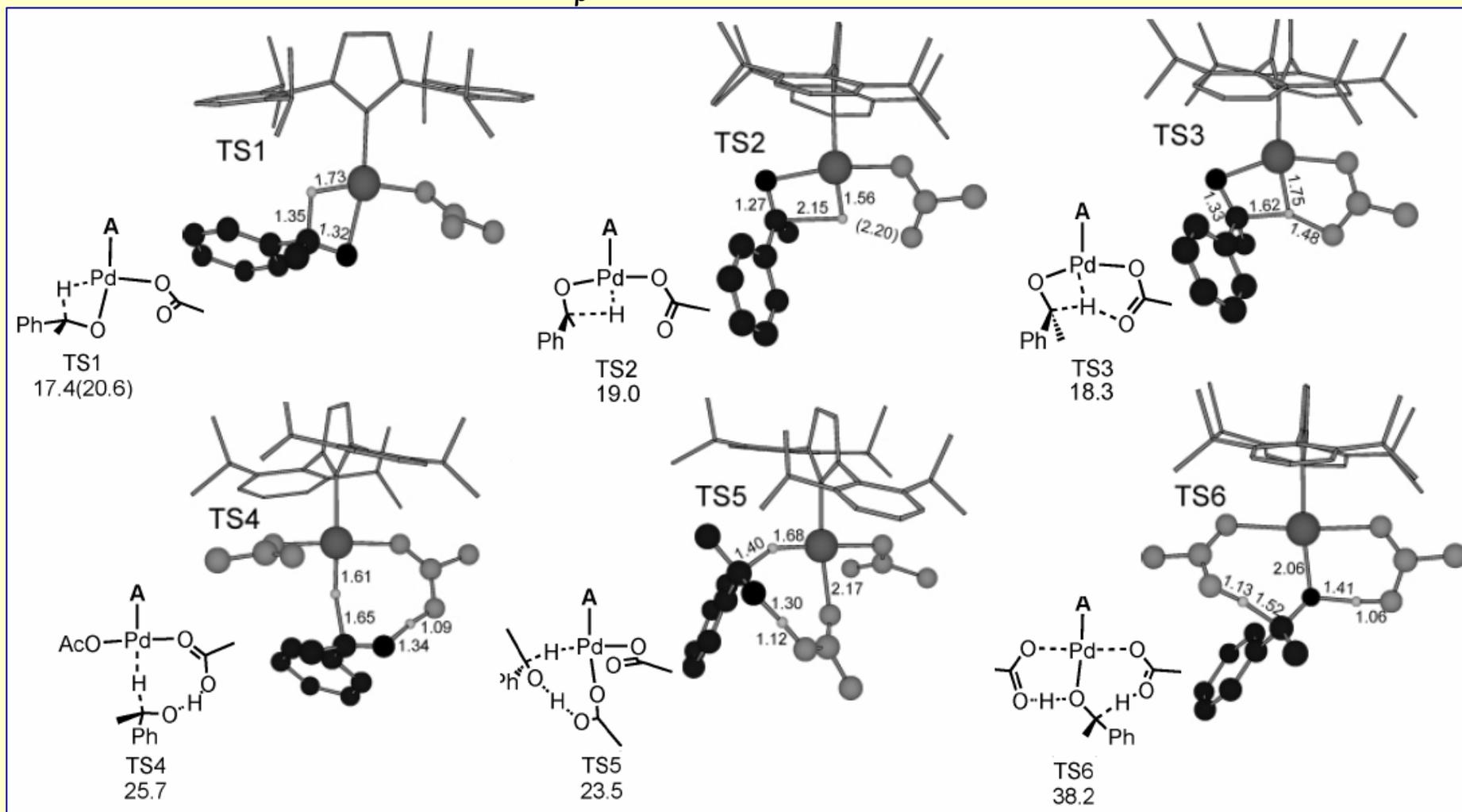
$$\text{R}-\text{CH}(\text{OH})-\text{R}' \xrightarrow[\text{PhCH}_3, 3\text{-\AA MS}, 60^\circ\text{C}, \text{O}_2]{0.5 \text{ mol } \% \text{ 1}} \text{R}-\text{C}(=\text{O})-\text{R}'$$

Entry ^[a]	Substrate	R	R'	t [h]	Yield [%] ^[b,c]
1 ^[d]	2a	Ph	CH ₃	5	> 99 (98)
2 ^[e]	2a			13	> 99
3 ^[d]	2b	4-MeOC ₆ H ₄	H	3.5	> 99 (99)
4 ^{[d], [f]}	2b			20	> 99
5 ^[d]	2c	3-CF ₃ C ₆ H ₄	CH ₃	12	> 99 (99)
6 ^{[d], [g]}	2d	Ph	tBu	14	91
7 ^[d]	2e	1-cyclohexenyl	CH ₃	12	91 (84)
8 ^[h]	2f	CH ₃ (CH ₂) ₇	CH ₃	13	99 (93)
9 ^[d]	2g	3-Me-cyclohexenol		12	92
10 ^[h]	2h	cis-4-Me-cyclohexanol		13	> 99
11 ^[i]	2i	myrtenol		20	97
12 ^{[i], [j]}	2j	CH ₃ (CH ₂) ₁₀	H	10	85 (76)
13 ^{[i], [j]}	2k	CH ₃ (CH ₂) ₁₆	H	10	(85)

Jensen, D. R.; Schultz, M. J.; Mueller, J. A.; Sigman, M. S. *Angew. Chem. Int. Ed.*, **2003**, *42*, 3810

Computational Studies of System 7

Possible C-H_β Bond Scission Transition States



Computational Studies of System 7

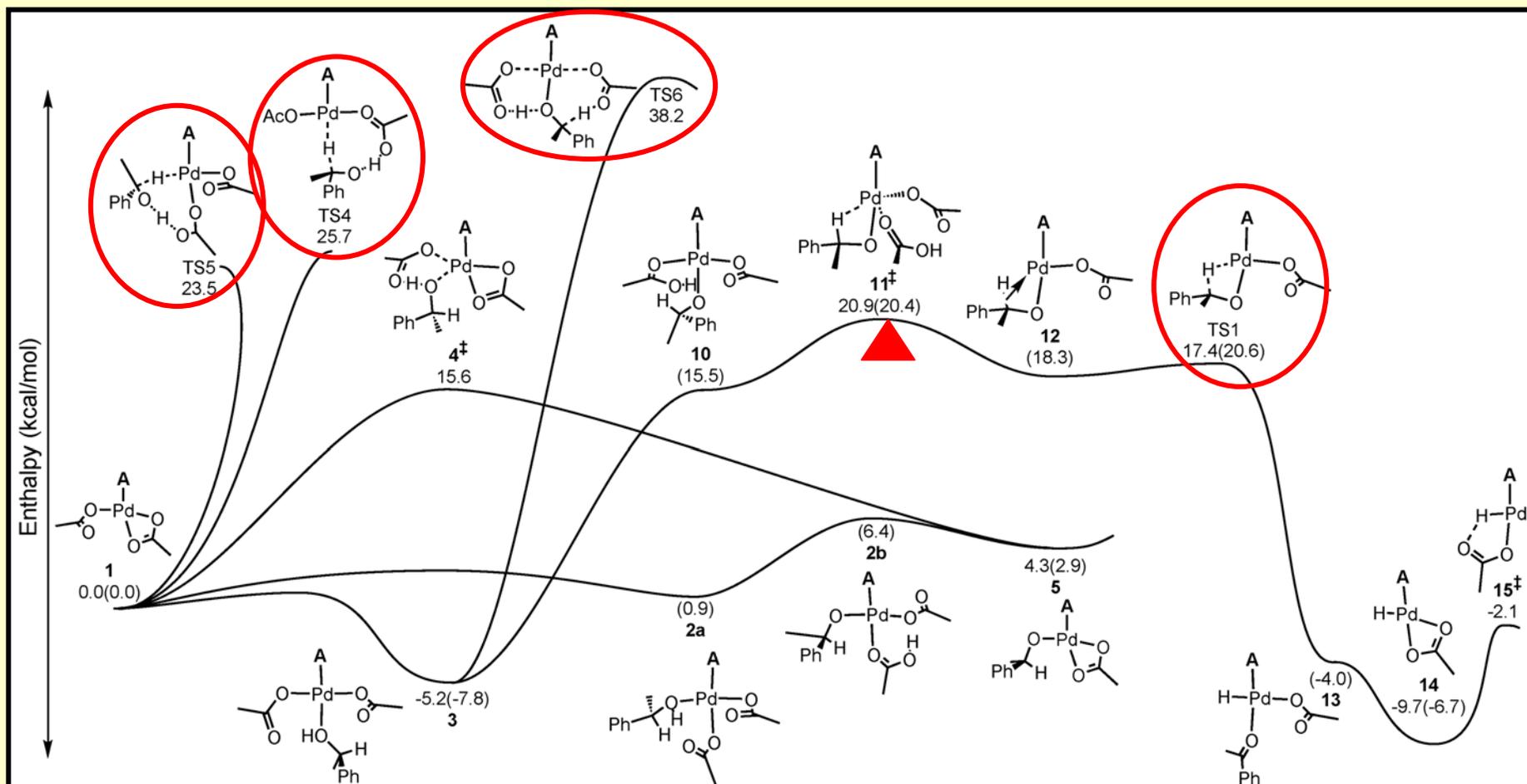
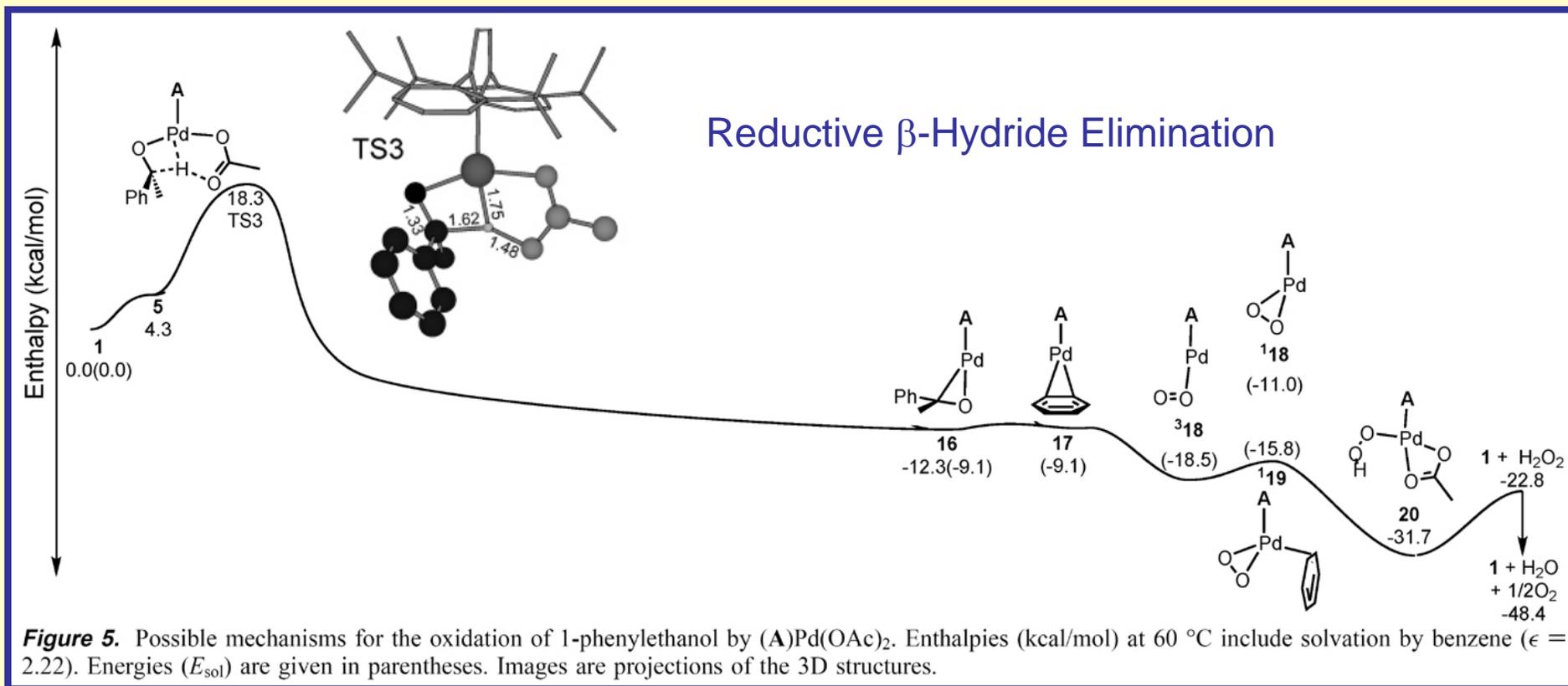


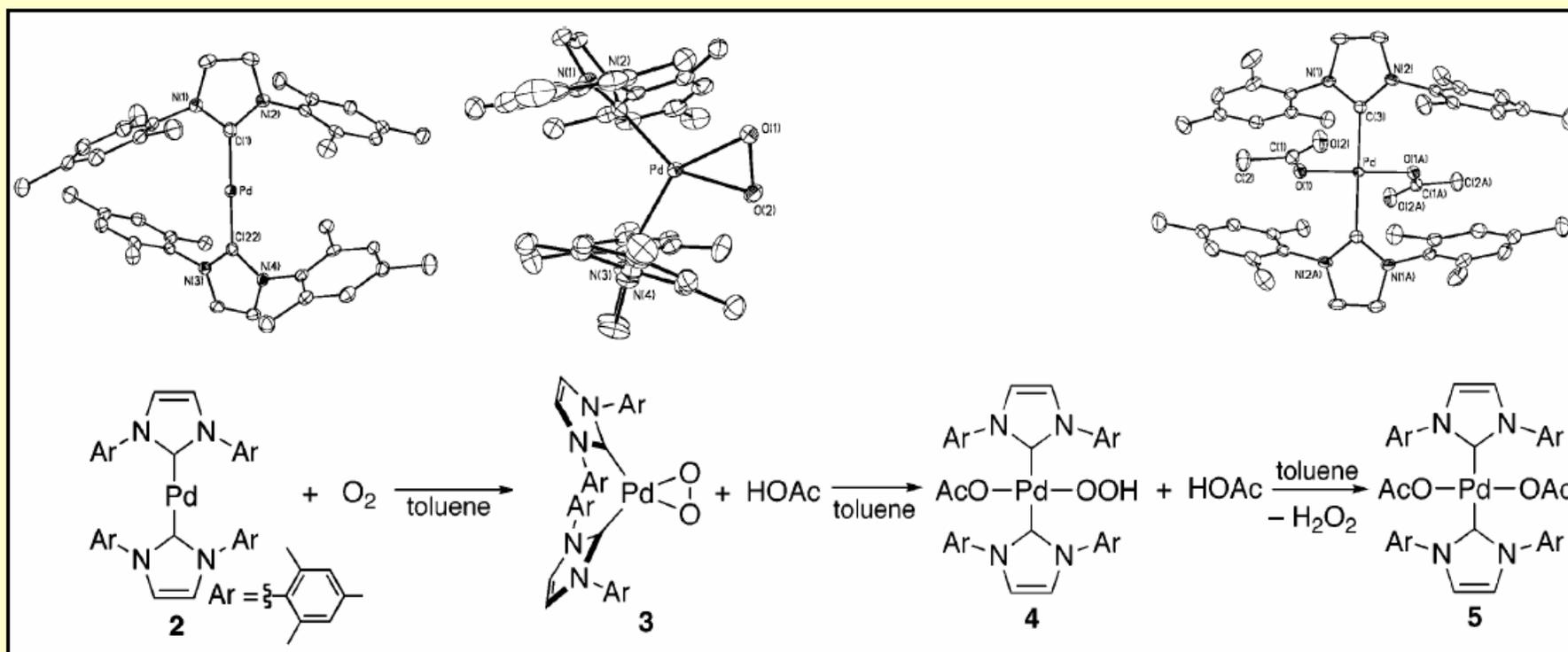
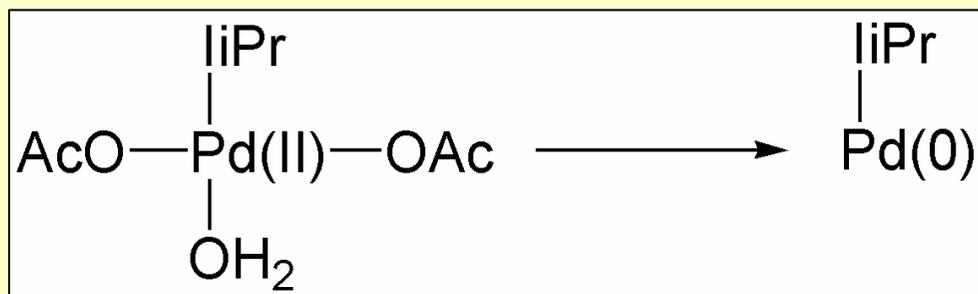
Figure 4. Possible mechanisms for the oxidation of 1-phenylethanol by (A)Pd(OAc)₂. Enthalpies (kcal/mol) at 60 °C include solvation by benzene ($\epsilon = 2.22$). Energies (E_{sol}) are given in parentheses since enthalpies were not calculated for all intermediates. Images are projections of the 3D structures.

Computational Studies of System 7

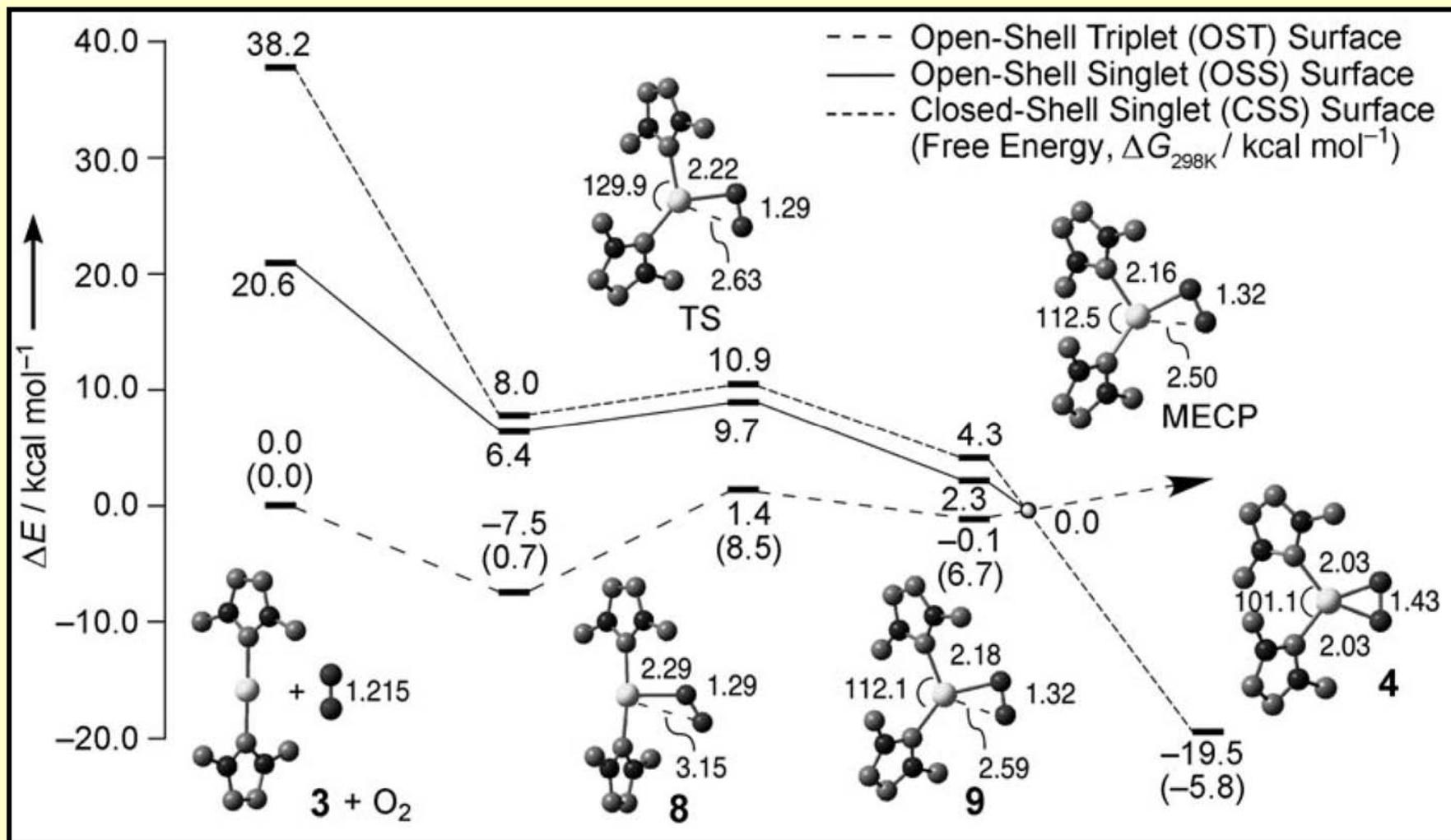


The reactivity of the (NHC)Pd(OAc)₂ complex is distinguished from related palladium complexes of N-donor ligands by the strong trans influence of the carbene ligand. The formation of covalent bonds trans to the carbene is thermodynamically discouraged, leading to an alternative mechanism: **Reductive β -Hydride Elimination.**

Regeneration of Pd catalyst



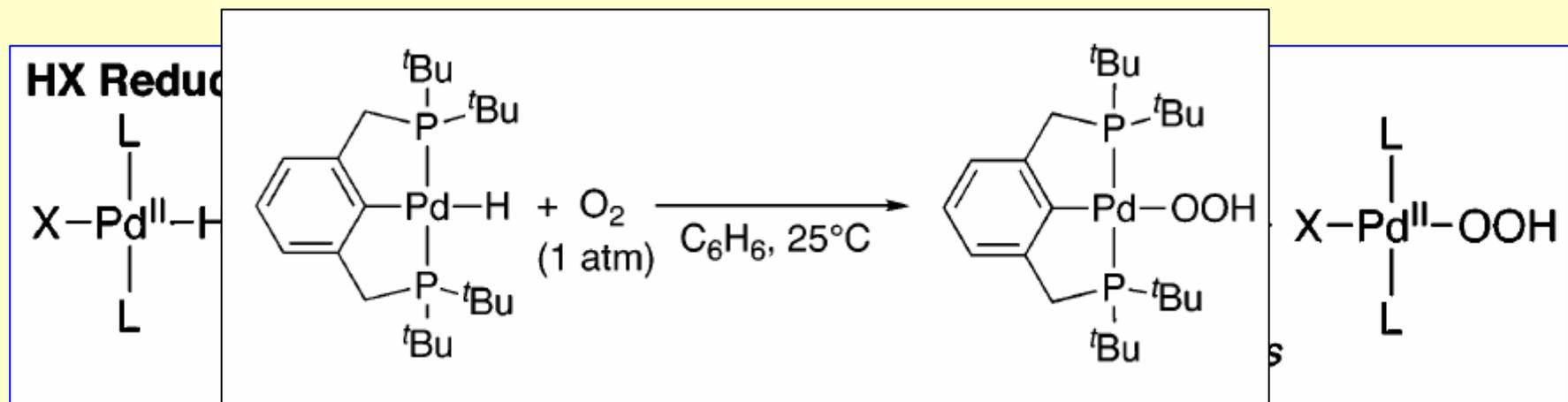
Computational Studies of System 7



Computational Studies of System 7

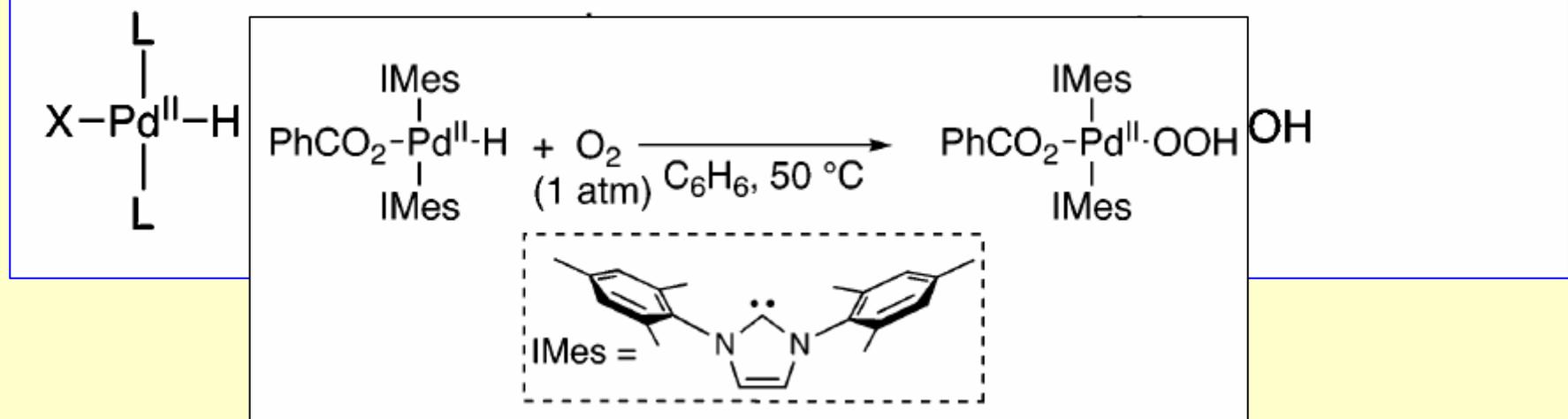
$\begin{array}{c} \text{IMe} \\ \\ \text{Pd}^0 \\ \\ \text{IMe} \end{array} + \text{O}_2 \longrightarrow \begin{array}{c} \text{IMe} \\ \diagup \\ \text{Pd}^{\text{II}} \\ \diagdown \\ \text{IMe} \end{array} \begin{array}{c} \text{O} \\ \diagdown \\ \text{O} \end{array}$	<table border="1"> <thead> <tr> <th>medium</th> <th>ϵ</th> <th>ΔG^0 [kcal/mol]</th> </tr> </thead> <tbody> <tr> <td>gas phase</td> <td>1.0</td> <td>+3.6</td> </tr> <tr> <td>toluene</td> <td>2.379</td> <td>-5.7</td> </tr> <tr> <td>tetrahydrofuran</td> <td>7.58</td> <td>-12.0</td> </tr> <tr> <td>acetonitrile</td> <td>36.64</td> <td>-14.9</td> </tr> </tbody> </table>	medium	ϵ	ΔG^0 [kcal/mol]	gas phase	1.0	+3.6	toluene	2.379	-5.7	tetrahydrofuran	7.58	-12.0	acetonitrile	36.64	-14.9
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The stronger s-donor character of NHC ligands relative to phosphines promote increased charge transfer into the O₂ fragment and tighter binding



Denney, M. C.; Smythe, N. A.; Cetto, K. L.; Kemp, R. A.; Goldberg, K. I. *JACS*, **2006**, *128*, 2508

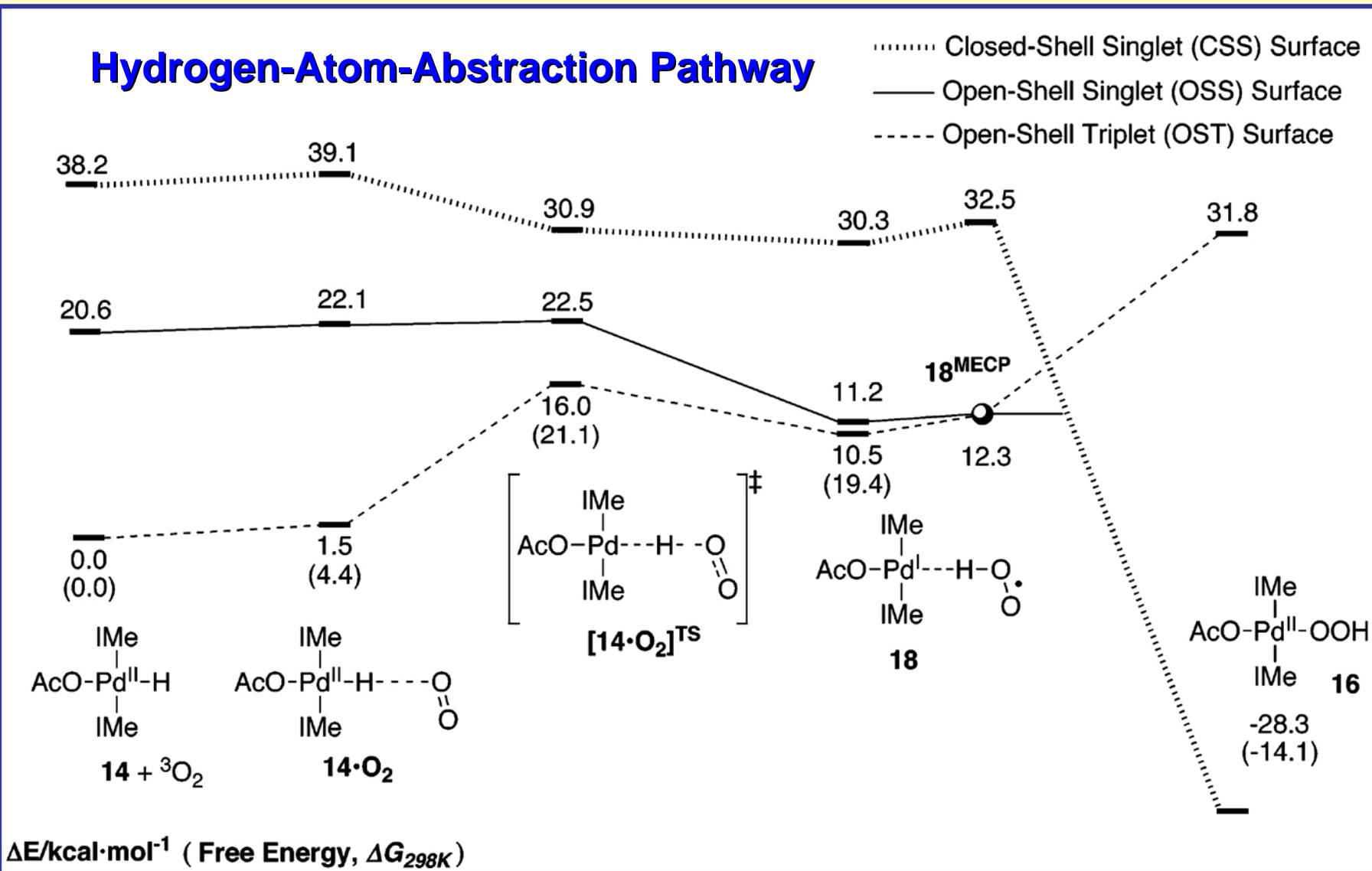
Hydrogen-Atom-Abstraction Pathway

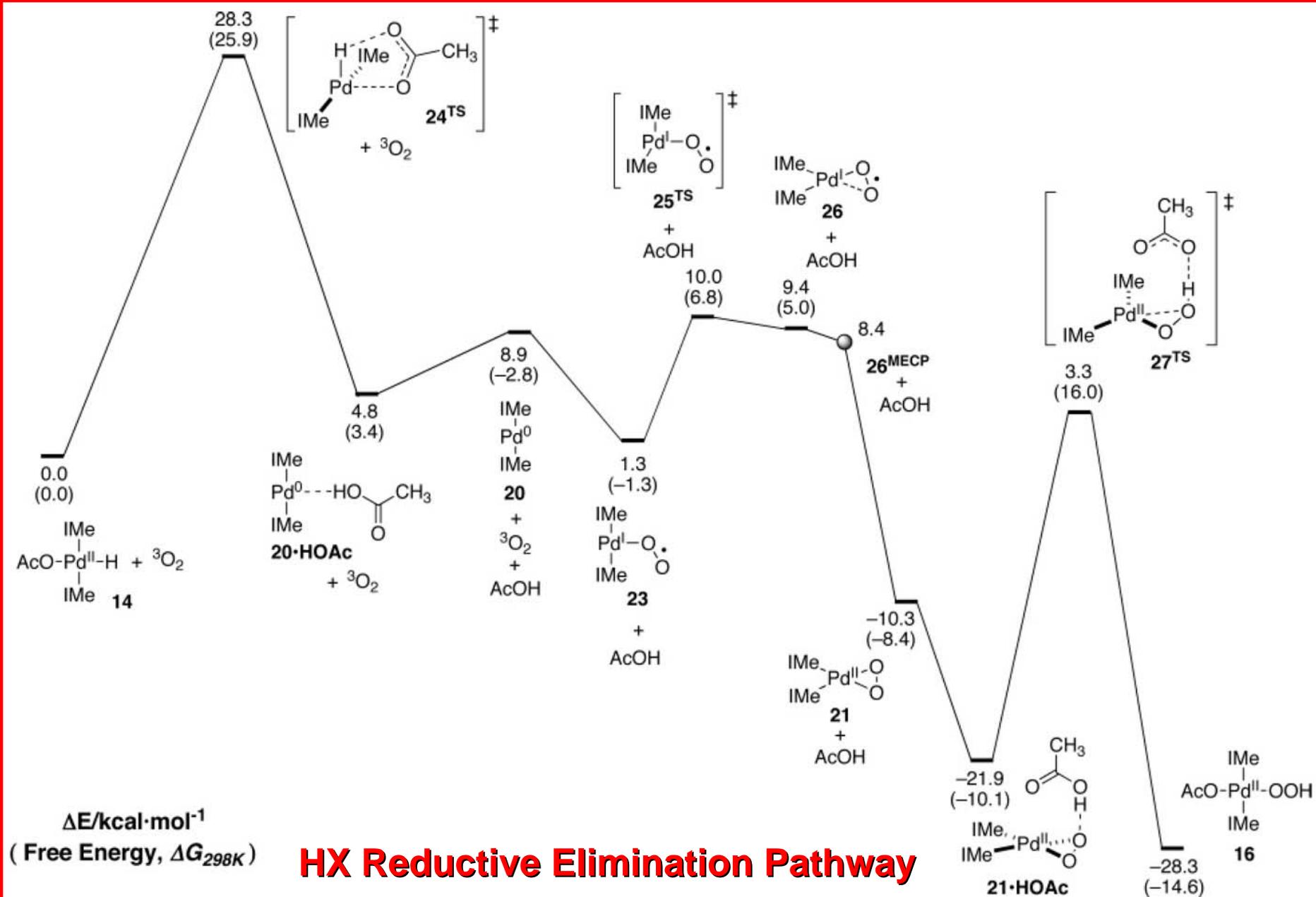


Konnick, M. M.; Gandhi, B. A.; Guzei, I. A.; Stahl, S. S. *Angew. Chem. Int. Ed.*, **2006**, *45*, 601

Computational Studies of System 7

Hydrogen-Atom-Abstraction Pathway





Summary

In conjunction with the experimental work, the recent computational studies demonstrated that the selection of the ligand on Pd is crucial in developing robust and active aerobic alcohol oxidation catalysts:

1. Base is necessary for deprotonation of the Pd-bound alcohol
2. Strong donor ligand on Pd facilitates β -Hydride Elimination
3. A ligand must dissociate to allow alcohol binding and β -HE
4. Excess ligand is needed to prevent Pd decomposition